

# K-theory and 2-Cocycles on Transformation Groups

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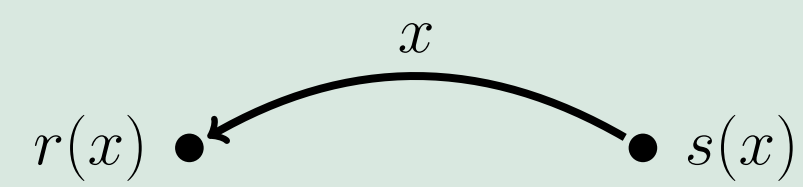


## Groupoids, Cocycles, and Homotopy

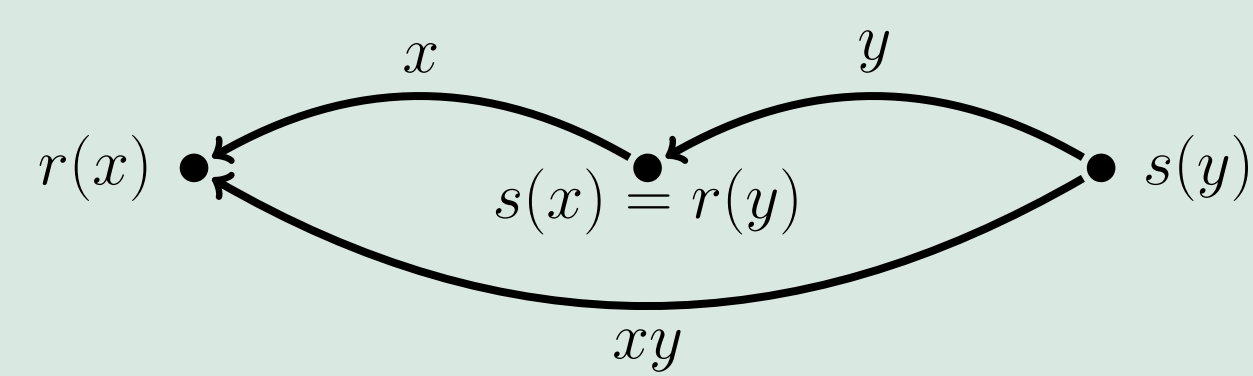
The class of *groupoids* includes many familiar mathematical objects — groups, (topological) spaces, equivalence relations, and group actions, for example. Roughly speaking, a groupoid  $\mathcal{G}$  is a set with a partially defined multiplication. We write

$$\mathcal{G}^{(2)} \subseteq \mathcal{G} \times \mathcal{G} = \{(x, y) : \text{the product } xy \in \mathcal{G} \text{ is defined.}\}$$

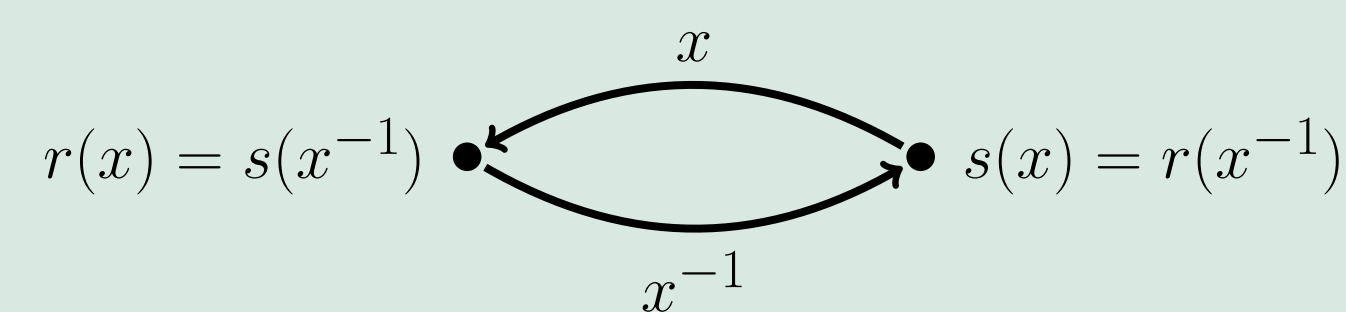
We can think of elements of  $\mathcal{G}$  as arrows:



Then, the product  $xy$  is defined iff  $s(x) = r(y)$ :



Reversing an arrow gives you its inverse:



Let

$$\mathcal{G}^{(0)} = \{u \in \mathcal{G} : u = s(u) = r(u)\}.$$

These are the *units* of  $\mathcal{G}$ . Note that

$$\forall x \in \mathcal{G}, s(x), r(x) \in \mathcal{G}^{(0)}$$

## Groupoid Cocycles

**DEFINITION:** Let  $\mathcal{G}$  be a groupoid. A *2-cocycle* on  $\mathcal{G}$  is a function  $\omega : \mathcal{G}^{(2)} \rightarrow \mathbb{T}$  such that

$$\omega(x, yz)\omega(y, z) = \omega(xy, z)\omega(x, y)$$

whenever this makes sense.

A *homotopy of 2-cocycles* on  $\mathcal{G}$  is a 2-cocycle  $\omega$  on the groupoid  $\mathcal{G} \times [0, 1]$  such that for each composable pair  $(x, y) \in \mathcal{G}^{(2)}$ , the function

$$t \mapsto \omega((x, t), (y, t))$$

is continuous.

## Groupoid $C^*$ -Algebras

Given a groupoid  $\mathcal{G}$  with a locally compact Hausdorff topology, a Haar system  $\{\lambda^u\}_{u \in \mathcal{G}^{(0)}}$  and a continuous 2-cocycle  $\omega$ , we can make  $C_c(\mathcal{G})$  into a convolution algebra:

$$f *_\omega g(x) = \int f(y)g(y^{-1}x)\omega(y, y^{-1}x)d\lambda^{s(x)}(y).$$

By taking different completions of  $C_c(\mathcal{G})$  we get the *full* and *reduced twisted groupoid  $C^*$ -algebras*

$$C^*(\mathcal{G}, \omega), \quad C_r^*(\mathcal{G}, \omega).$$

## Motivation

- **Noncommutative Tori** One way to think of the irrational rotation algebra  $A_\theta$  is as a twisted group  $C^*$ -algebra:

$$A_\theta = C^*(\mathbb{Z}^2, c_\theta) \text{ where } c_\theta((m, n), (j, k)) = e^{2\pi i j n \theta}.$$

Note that the map  $\theta \mapsto c_\theta((m, n), (j, k))$  is continuous, so  $\{c_\theta\}_{\theta \in [0, 1]}$  gives us a homotopy of 2-cocycles on  $\mathbb{Z}^2$ .

In 1980, Pimsner and Voiculescu proved in [3] that

$$\forall \theta, K_0(A_\theta) = \mathbb{Z} \oplus \mathbb{Z} = K_1(A_\theta).$$

- **Symplectic Vector Bundles** Let  $V \rightarrow M$  be a smooth even-dimensional vector bundle. A *symplectic form*  $\omega$  on  $V$  is a skew-symmetric, nondegenerate map  $\omega : V \times V \rightarrow \mathbb{R}$ ; if  $V$  admits a symplectic form then we say  $V$  is a *symplectic vector bundle*.

**EXAMPLE:** For any smooth manifold  $M$ , let  $X = T^*M$ . Then  $TX \rightarrow X$  is a symplectic vector bundle.

Note that we can think of  $V := V \rightarrow M$  as a groupoid;

$$(v, w) \in V^{(2)} \Leftrightarrow \pi(v) = \pi(w); \quad vw = v + w.$$

Moreover, the symplectic form  $\omega$  gives us a homotopy  $\sigma$  of 2-cocycles on  $V$ :

$$\sigma((v, t), (w, t)) = e^{2\pi i t \omega(v, w)}.$$

Here,  $\sigma_0$  is the trivial cocycle.

Invoking Bott periodicity, and the dual Dirac element in  $KK(\mathbb{C}, C^*(V))$ , we can construct a  $KK$ -equivalence between  $C^*(V, \omega) = C^*(V, \sigma_1)$  and  $C^*(V) = C^*(V, \sigma_0)$ . In particular, this implies that

$$K_*(C^*(V, \sigma_1)) \cong K_*(C^*(V, \sigma_0)).$$

- **Groups Satisfying the Baum-Connes Conjecture** In a 2010 paper [1], Echterhoff, Lück, Phillips, and Walters proved a far-reaching generalization of Pimsner and Voiculescu's result:

**THEOREM:** [ELPW, 2010] Let  $G$  be a LCH group that satisfies the Baum-Connes conjecture with coefficients  $\mathcal{K}$  and  $C([0, 1], \mathcal{K})$ . Let  $\omega$  be a homotopy of 2-cocycles on  $\mathcal{G}$ . Then

$$K_*(C_r^*(G, \omega_0)) \cong K_*(C_r^*(G, \omega_1)).$$

Our main Theorem is an extension of this result to the case of transformation groups; the outline of the proof and some of the main technical lemmas are the same as in ELPW's proof.

## Homotopies & $C([0, 1])$ -Algebras

**DEFINITION:** A  $C^*$ -algebra  $A$  is a  *$C_0(X)$ -algebra* if  $A$  admits a  $*$ -homomorphism

$$\Psi : C_0(X) \rightarrow ZM(A)$$

Writing

$$I_x = \overline{\text{span}}\{\Psi(f) \cdot a : f \in C_0(X \setminus \{x\}), a \in A\},$$

we see that  $I_x$  is an ideal in  $A$ , so we can define the *fiber algebra*  $A_x$  of  $A$  at  $x$  by

$$A_x := A/I_x.$$

**PROPOSITION:** [G.] Let  $\mathcal{G}$  be a locally compact Hausdorff groupoid and let  $\omega$  be a homotopy of continuous 2-cocycles on  $\mathcal{G}$ . Then  $C^*(\mathcal{G} \times [0, 1], \omega)$  is a  $C([0, 1])$ -algebra, with fiber algebra  $C^*(\mathcal{G}, \omega_t)$ .

**PROPOSITION:** [G.] Let  $G \times X$  be a LCH transformation group and let  $\omega$  be a homotopy of *continuous* 2-cocycles on  $G \times X$ . Then  $C^*(G \times X \times [0, 1], \omega)$  is a  $C([0, 1])$ -algebra, with fiber algebra  $C^*(G \times X, \omega_t)$ . If  $G$  is compact then

$$C^*(G \times X \times [0, 1], \omega) \cong C^*(G \times X, \omega_t) \otimes C([0, 1]).$$

## The Main Theorem

**THEOREM:** [G, 2013] Let  $\omega$  be a homotopy of continuous 2-cocycles on a LCH transformation group  $G \times X$  such that  $X$  is compact and  $G$  satisfies the Baum-Connes conjecture with coefficients. Then

$$K_*(C_r^*(G \times X, \omega_0)) \cong K_*(C_r^*(G \times X, \omega_1)).$$

Moreover, the isomorphism is induced by the homotopy.

**Proof sketch:** To prove the Theorem, we show that the diagram

$$\begin{array}{ccc} KK_*^H(\mathbb{C}, C(X \times [0, 1], \mathcal{K})) & \xrightarrow{\#[ev_t]} & KK_*^H(\mathbb{C}, C(X, \mathcal{K})) \\ \text{KS} \downarrow & & \downarrow \text{KS} \\ KK_*(\mathbb{C}, C(X \times [0, 1], \mathcal{K}) \rtimes_{\beta, r} H) & \xrightarrow{\#[ev_t^H]} & KK_*(\mathbb{C}, C(X, \mathcal{K}) \rtimes_{\beta_t, r} H) \\ \downarrow & & \downarrow \\ K_*(C(X \times [0, 1], \mathcal{K}) \rtimes_{\beta, r} H) & \xrightarrow{\quad\quad\quad} & K_*(C(X, \mathcal{K}) \rtimes_{\beta_t, r} H) \\ (\Phi_t^{-1})_* \downarrow & & \downarrow (\Phi_t^{-1})_* \\ K_*(C_r^*(H \times X \times [0, 1], \overline{\omega})) & \xrightarrow[\cong]{(q_t)_*} & K_*(C_r^*(H \times X, \overline{\omega}_t)) \end{array}$$

commutes for any compact subgroup  $H$  of  $G$  and any  $t \in [0, 1]$ . This tells us that the element

$$[ev_t] = [(C(X, \mathcal{K}), ev_t, 0)] \in KK^H(C(X \times [0, 1], \mathcal{K}), C(X, \mathcal{K}))$$

generated by the  $*$ -homomorphism  $ev_t : C(X \times [0, 1], \mathcal{K}) \rightarrow C(X, \mathcal{K})$  satisfies the hypotheses of Proposition 1.6 in [1]. To finish the proof of the Theorem, we then follow the same arguments used in [1] to prove Theorem 1.9.

## New Directions

The techniques used in [1] and in the proof of our Main Theorem seem unlikely to be applicable to a larger class of groupoids. A very different approach was used in [2] by Kumjian, Pask, and Sims to prove the following:

**THEOREM:** [KPS, 2012] If a 2-cocycle  $\omega$  on a higher-rank graph  $\Lambda$  is given by

$$\omega(\lambda, \mu) = e^{2\pi i \sigma(\lambda, \mu)}$$

for some  $\mathbb{R}$ -valued 2-cocycle  $\sigma$ , then

$$K_*(C^*(\Lambda, \omega)) \cong K_*(C^*(\Lambda)).$$

We have recently extended this result:

**THEOREM:** [G, 2013] Let  $\omega_0, \omega_1$  be homotopic cocycles on a higher-rank graph  $\Lambda$ . Then

$$K_*(C^*(\Lambda, \omega_0)) \cong K_*(C^*(\Lambda, \omega_1)).$$

To connect these results with groupoids, recall that from the space of infinite paths  $\Lambda^\infty$  in a higher-rank graph  $\Lambda$  of rank  $k$ , we can construct a groupoid  $\mathcal{G}_\Lambda$ :

$$\mathcal{G}_\Lambda = \{(x, n, y) : x, y \in \Lambda^\infty, n = m - \ell \in \mathbb{Z}^k, \sigma^m(x) = \sigma^\ell(y)\}.$$

A related class of groupoids, the *Deaconu-Renault groupoids*, are built out of a LCH space  $X$  and a local homeomorphism  $\phi : X \rightarrow X$ . The associated Deaconu-Renault groupoid  $\mathcal{G}_\phi$  is

$$\mathcal{G}_\phi = \{(x, n, y) : x, y \in X, n = m - \ell \in \mathbb{Z}, \phi^m(x) = \phi^\ell(y)\}.$$

We hope that similar proof techniques to those used in the  $k$ -graph case will allow us to prove the following conjecture for Deaconu-Renault groupoids:

**CONJECTURE:** If  $\mathcal{G}$  is a Deaconu-Renault groupoid, and  $\omega_0, \omega_1$  are homotopic cocycles on  $\mathcal{G}$ , then

$$K_*(C^*(\mathcal{G}, \omega_0)) \cong K_*(C^*(\mathcal{G}, \omega_1)).$$

## References

- [1] Siegfried Echterhoff, Wolfgang Lück, N. Christopher Phillips, and Samuel Walters, *The structure of crossed products of irrational rotation algebras by finite subgroups of  $SL_2(\mathbb{Z})$* , Journal für die reine und angewandte Mathematik **639** (2010), 173–221.
- [2] Alexander Kumjian, David Pask, and Aidan Sims, *On the  $K$ -theory of twisted higher-rank-graph  $C^*$ -algebras*, arXiv:1211.1445v1 (2012).
- [3] M. Pimsner and D. Voiculescu, *Exact sequences for  $K$ -groups and Ext-groups of certain crossed-product  $C^*$ -algebras*, Journal of Operator Theory **4** (1980), 93–118.
- [4] Dana P. Williams, *Crossed products of  $C^*$ -algebras*, Mathematical Surveys & Monographs, vol. 134, AMS, 2007.