

27.7.2010 9:30 Grigor Sargsyan

-1-

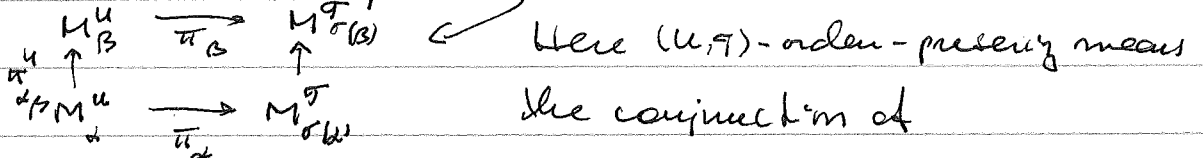
Conjecture PFA  $\Rightarrow \exists \Gamma \subseteq \mathcal{P}(\mathbb{R})$  s.t.  $L(\Gamma, \mathbb{R}) \models \text{AD}^+$  +  
 $\bigvee_{\emptyset} \text{HOD}^{L(\Gamma, \mathbb{R})} \models \exists \text{ superstrong}$

Def  $\Sigma$  is an IS if it is a  $(\kappa, \kappa)$ -IS or  $\kappa$ -IS of  $M_\Sigma$ ,  
~~if~~ the structure, is fine structural then  $\Sigma$  can be  $(\kappa, \omega, \kappa)$ -IS  
 (Here  $M_\Sigma = \Sigma(\emptyset)$ )

Def (Hull condensation) <sup>for normal trees</sup> Suppose  $\Sigma$  is an IS. Then  $\Sigma$  has  
hull condensation iff whenever  $\mathcal{T}$  is according to  $\Sigma$  and  $U$   
 is another tree, both on  $M$  then:

if  $\sigma: \text{lh}(U) \rightarrow \text{lh}(\mathcal{T})$  <sup>is  $(U, \mathcal{T})$  order-preserving</sup> and  $\pi_\alpha: M_\alpha^U \rightarrow M_{\sigma(\alpha)}^\mathcal{T}$  are s.t.

$\pi_0 = \text{id}$  and all diagrams commute



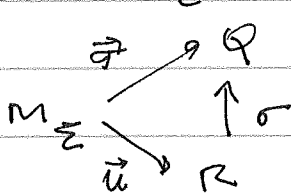
(i)  $\alpha <_{U, \beta} \Leftrightarrow \sigma(\alpha) <_{\mathcal{T}} \sigma(\beta)$

(ii)  $3 = \text{lh}(\alpha+1) \Leftrightarrow \sigma(3) = \mathcal{T}(\sigma(\alpha+1)) \quad \sigma(\alpha)+1 = \sigma(\alpha+1)$

Under these conditions:  $U$  is ~~not~~ according to  $\Sigma$ .

Def  $\Sigma$  has hull condensation iff whenever  $\mathcal{T}$  is according to  $\Sigma$  and  $\vec{u}$  is a hull of  $\vec{\mathcal{T}}$  then  $\vec{u}$  is according to  $\Sigma$ .

Def  $\Sigma$  has branch condensation iff whenever  $\mathcal{T}$  is according to  $\Sigma$ ,  $\vec{u}$  is a stack according to  $\Sigma$  without last model and  $c$  is a branch of  $\vec{u}$  s.t. if  $Q$  is the last model of  $\vec{\mathcal{T}}$  and  $R = M_c^{\vec{u}}$  and there is  $\sigma: M_c^{\vec{u}} \rightarrow Q$  s.t. the diagram



commutes, then  $c = \Sigma(\vec{u})$ .

Open: Does branch condensation imply hull cond?   
 Is there some  $\Sigma$  without hull cond?

- 2 -

Def  $\Sigma$  is weakly commuting off  $\vec{u}$  whenever  $\vec{T}, \vec{u}$  are are according to  $\Sigma$  and  $M_\Sigma \xrightarrow{\vec{u}} \mathcal{Q}$  then the corresponding maps agree

Notation If  $\vec{T}$  is according to  $\Sigma$  with last model  $\mathcal{Q}$  then  $\Sigma_{\mathcal{Q}, \vec{T}}$  is the induced strategy on  $\mathcal{Q}$

Def  $\Sigma$  is commuting off for all  $\mathcal{Q}, \vec{T}$  as above  $\Sigma_{\mathcal{Q}, \vec{T}}$  is weakly commuting

Def  $\Sigma$  is positional off  $\Sigma_{\mathcal{Q}, \vec{T}} = \Sigma_{\mathcal{Q}, \vec{u}}$  for all  $\mathcal{Q}, \vec{T}, \vec{u}$ .

Exercise (Via the Dodd-Jensen proof) Positional  $\Rightarrow$  Commuting (Also a version of hull cond would do it) + Branch Condensation

Exercise Suppose  $\Sigma$  is a strategy with hull condensation and  $\pi: N \xrightarrow{\Sigma} M_\Sigma$ . then  $\Sigma^\pi$  has hull condensation.

Open: Is something like this known for branch cond?

### Background constructions

Suppose  $\delta$  is a cardinal and  $V_\delta$  is  $\delta^+$  (in fact,  $\delta+1$ )-iterable. Assume  $\Sigma$  is a  $\delta^+$ -IS. Then we regard  $\Sigma$  with hull condensation and  $M_\Sigma \in V_\delta$ . then we can do full background construction relative to  $\Sigma$ . We let  $L_\delta[E, \Sigma] = N$  be this model. By FSIT  $N$  inherits a strategy from the background universe.

Exercise Suppose the strategy of  $V_\delta$  has hull condensation. Show that the induced strategy of  $N$  also has hull cond.

Stacking mill Suppose  $\delta$  is a Woodin cardinal and  $V_\delta$  is  $(\delta+1)$ -iterable <sup>(stack of)</sup> trees on  $L_\omega(V_\delta)$ . Let  $\mathcal{M} = L[E]^{V_\delta}$ . Let  $S(\mathcal{M}) = \cup \{ M \mid M \text{ is a sound mouse over } \mathcal{M} \text{ projecting to } \delta \}$

Lemma (Steel)  $cf(\mathcal{O}(S(\mathcal{M}))) \geq \delta$

Proof If not: let  $\gamma = cf(\mathcal{O}(S(\mathcal{M}))) < \delta$ . Let  $f: \gamma \rightarrow \mathcal{O}(S(\mathcal{M}))$  be cofinal. [Construct  $\langle N_\zeta \mid \zeta < \delta \rangle$ , s.t.  $\langle \pi_\zeta \mid \zeta < \delta \rangle$  s.t.

1.  $\pi_\zeta: N_\zeta \rightarrow V_\lambda$  ( $\lambda$  large)
2.  $cr(\pi_\zeta) = \kappa_\zeta$ ,  $V_{\kappa_\zeta} \subseteq N_\zeta$

Construct  $\langle X_\zeta \mid \zeta < \delta \rangle$ : Letting  $\lambda$  large

1.  $X_\zeta \cap \delta \in \delta$
  2.  $X_\zeta^\omega \subseteq X_\zeta$  if  $cf(\zeta) > \omega$  or  $\zeta$  successor
- $\langle X_\zeta \rangle$  elementary chain and  $\gamma \in X_0$

Define  $g: \delta \rightarrow \delta$  s.t.  $g(\zeta) = \sup \{ h(\zeta) \mid h \in X_\zeta \}$

Claim Let  $\mathcal{N}_\zeta = \text{trcl}(X_\zeta)$  if  $X_\zeta^\omega \subseteq X_\zeta$ ,  $\pi_\zeta: \mathcal{N}_\zeta \rightarrow V_\lambda$  inverse to the collapse,  $\kappa_\zeta = cr(\pi_\zeta)$ . Then  $\mathcal{O}(\kappa_\zeta)^{\mathcal{N}_\zeta} \subseteq \mathcal{O}(\kappa_\zeta)^{\mathcal{N}_\zeta}$ . If not

Fact For any  $A \in \delta$   $\exists \kappa$   $A$ -reflecting + the projection of the liftup of the initial segment of  $\mathcal{N}$  that collapses  $\mathcal{O}(\kappa_\zeta)^{\mathcal{N}_\zeta}$  is  $\delta$ . End of Fact

Then pick  $\kappa$  s.t.  $\kappa = \kappa_\kappa$ ,  $\kappa$  reflects  $g$  and  $\langle X_\zeta \cap \delta \mid \zeta < \delta \rangle$

Let  $E$  be an extender witnessing this. Then  $E$  witnesses that  $\kappa$  is superstrong on  $\mathcal{N}$  on some initial segment of  $E$

Claim  $j_E(g)(\kappa) \geq j_E(h)(\kappa)$  all  $h \in \mathcal{N}$

(Exercise: get a superstrong out of this.)

Proof Let  $\pi: \mathcal{N}_\kappa \rightarrow V_\lambda$ . Then  $\pi(h)$  is defined, all  $h \in \mathcal{N}$ .

So  $j_E(g)(\kappa) = \sup_{h \in X_\kappa^\omega} h(\kappa)$  where  $j_E(\langle X_\zeta \cap \delta \mid \zeta < \delta \rangle) = \langle X_\zeta^* \cap \delta \mid \zeta < j_E(\delta) \rangle$

$$\textcircled{1} \geq \sup_{h \in X_n} h(\kappa) = \sup_{h \in W} j_E^{(h)}(\kappa). \quad \square$$

Universality Suppose  $V_\delta$  is  $(\delta+1)$ -iterable for trees in  $L_\omega(V_\delta)$ . Let  $N = L[E]^{V_\delta}$ . Then  $N$  wins the coiteration with any  $W$  of height  $\leq \delta$ .

Proof This is like Mitchell-Schindler, just that Woodinness replaces "no Woodiness". See ATHM.

Assume:  $W$  is  $(\delta+1)$ -iterable.

Universality (Cheap) If  $W \in V_\kappa$  where  $\kappa$  is the least measurable then in fact  $N$  does not move. (Can show it without the iterability hypo.) (Need that the strategy of  $W$  is moved correctly ~~to~~ the extenders in  $V_\delta$ .)

Thick hulls Suppose  $V_\delta, \delta, N, SC(N)$  are as above. Let  $C \in \mathcal{O}(S)$  be a club in  $\mathcal{O}(S)$ . Let  $H = \text{Hull}_{\Sigma_1}^{SC(N)}(C)$ . Then  $H$  is universal.

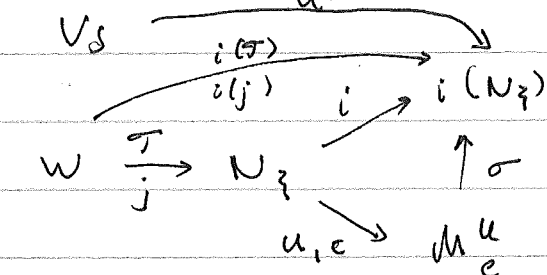
Stack moves to stack Suppose  $V_\delta, \delta, N, SC(N)$  are as above. Suppose  $T \in V_\delta$  is a tree on  $S(N)$  with last model  $W$ . Then  $W = SC(W \upharpoonright S)$ .

Lemma  $V_\delta, \delta, W$  as above. Let  $(W, \Sigma)$  be s.t.  $W \in V_\kappa$  where  $\kappa =$  the least inaccessible. Let  $\Sigma$  be  $(\delta+1)$ -IS for  $W$  which has branch condensation. Let  $\alpha \leq \beta$  be s.t.  $W$  is embeddable into  $N_\beta^\alpha$ , the  $\beta$ -th model of the construction. Assume  $W \rightsquigarrow N_\beta^\alpha$  via  $T$ . Then

$\Sigma_{N_\beta^\alpha}$  = the induced strategy of  $N_\beta^\alpha$  coming from the background universe.

Assume  $\Sigma$  is moved correctly.

Pf Let  $\mathcal{N}$  be the induced strategy.  $\mathcal{N} \stackrel{?}{=} \Sigma_{\mathcal{N}, \sigma}$ .



Claim  $i(j) = \sigma \circ i_e \circ j$   
(because  $i(j) = i \circ j$ )

Now use branch condensation.

□ Sketch of Proof

Application  $V_S, \mathcal{N}, S(\mathcal{N})$  as above.

Def  $P$  is suitable iff  $P \neq \gamma$  is the only Woodin,  
 $\forall \zeta < \gamma : L_P^P(P|\zeta) \neq \zeta$  is not Woodin and  
 $P \neq L_P^P(P|\zeta) = \cup \{M \trianglelefteq P \mid M \text{ is a pm over } P|\zeta \text{ without overlaps}\}$

Def Suppose  $\Sigma$  is  $(\delta+1)$ -iterable for a suitable  $P$  cbb.  $\Sigma$  is fullness preserving iff whenever  $P \underset{\sigma}{\sim} Q$  then  $\forall \zeta$  cutpoint of  $Q$   $L_P(Q|\zeta) \trianglelefteq \dot{Q}$ .

Lemma  $V_S, \mathcal{N}, P, \Sigma$  as above. Then the least strong of  $\mathcal{N}$  ~~is~~ up to  $\delta$  is a limit of Woodins.

Pf ETS:  $S(\mathcal{N})$  has at least one overlapping extender.

Suppose no overlaps in  $S(\mathcal{N})$ . Then  $S(\mathcal{N}) = L_P(\mathcal{N})$  ②

Iterate  $P$  to make  $\mathcal{N}$ -generic. Get  $P \underset{\sigma}{\sim} Q$ ,

$\mathcal{N}$  generic over  $Q$ . Then  $L_P(\mathcal{N}) \in Q[\mathcal{N}]$  w/  $\mathcal{N}$ .

Also  $o(L_P(\mathcal{N})) \neq \delta^Q$ , So  $cf(o(S(\mathcal{N}))) = \omega$ . Contradiction.

② Need to be checked - holds only in certain situations.

27.9.2010 14:00 Guigor Sangsyan.

Here is a proof that avoids ②: ETS  $\exists \gamma > \kappa$  strong to  $\delta^N$  s.t.  $L_P(\mathcal{N}|\gamma) \neq \gamma$  is Woodin (Notice:  $L_P(\mathcal{N}|\gamma) \triangleleft \mathcal{N}$  by universality)

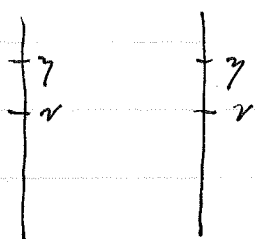
Let  $\mathcal{N}^* = L[E]^{\mathcal{N}}$  built using extenders with crit pts  $> \kappa$ .

Then  $\mathcal{N}^*$  is universal. So there is some  $\gamma$  s.t.  $\mathcal{N}^*|\gamma$  is a  $\Sigma$ -iterate of  $P$  (compare  $\mathcal{N}^*$  with  $P$ ;  $P$  is not moved).  $\gamma <$  the first

$< \delta$  strong. Let  $\nu$  be the Woodin of  $N^* \upharpoonright \gamma$ . Then  $N^* \models \nu$  is a Woodin (because  $\nu$  is a cutpoint,  $\mathcal{G}$  and  $N^* \upharpoonright \gamma$  is full-strategy  $\mathcal{I}$  fullness preserving.)

Claim  $L_P(N \upharpoonright \nu) \models \nu$  is Woodin.

S-construction :  $N \upharpoonright \nu$  is generic for  $\mathbb{B}_\nu^{N^*}$  with  $\nu$  generators



$N$

$N^*$

Also via S-construction,

$$N^* \upharpoonright \gamma \upharpoonright N \upharpoonright \nu \cong L_{P_{\nu_0}}(N \upharpoonright \nu)$$

S-construction.

(Exercise)

$L_P(N \upharpoonright \nu) \models \nu$  Woodin. □

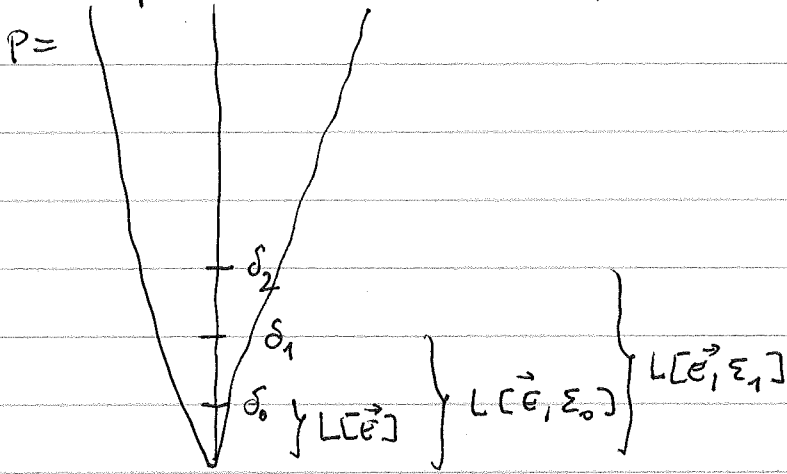
[Exercise Construct a directed system in  $M_1(x)$  where  $x$  codes  $M_2^\#$ .]

Exercise 1 Suppose  $x \in \mathbb{R}$  codes  $M_2^\#$ . Let  $\kappa$  be the least inaccessible of  $M_1(x)$ . Let  $\mathcal{G} \in \text{col}(\omega, < \kappa)$  be generic over  $M_1(x)$ . Construct a DLS using  $M_2^\#$  and show that the Woodin cardinal of  $M_\infty$  is  $\omega^+$ . Q: ~~what is  $M_\infty$ ?~~ Find  $W$  s.t.  $M_\infty = HOD^W$ .

Exercise 2 Let  $\delta_0$  be the first Woodin of  $M_\omega$ . Let  $P = M_\omega \upharpoonright (\delta_0 + \omega)^{M_\omega}$ . Show that  $P$  has an  $(\omega_1 + 1)$ -IS in  $L(\mathbb{R})$ .

Exercise 3 Back to Example 1. Let  $\delta$  be the Woodin of  $M_1(x)$  and let  $\kappa$  be the least  $< \delta$ -strong of  $M(x)$ . Show that if " $N = M_\infty$  at  $\kappa$ " then  $N$  is  $< \delta$  iterable in  $M_2(x)$ . And use to construct a model  $P$  with 2 Woodins which computes many successors correctly and is  $< \delta$ -iterable.

HOD mouse below  $AD_{\omega_2} + \Theta$  measurable.



Def  $P$  is a hod-pm iff there is a sequence of  $\langle \delta_\alpha \mid \alpha \leq \lambda \rangle$ ,  $\langle \Sigma_\alpha \mid \alpha \leq \lambda - 1 \rangle$ ,  $\langle \mu_\alpha \mid \alpha \leq \lambda \rangle$ ,  $\langle P(\alpha) \mid \alpha \leq \lambda \rangle$  st.

1.  $\delta_{\alpha+1}$ 's are the only Woodin cardinals of  $P$
2.  $\Sigma_\alpha$  is the strategy of  $P(\alpha) \triangleleft P$
3.  $P(\omega) = L_P(\omega) (P \mid \delta_\omega)$ ,  $P(\alpha+1) = L_{P(\omega)}^{\Sigma_\alpha} (P \mid \delta_{\alpha+1})$

Exercise Show that  $\mu_{\alpha+1}$  is not a Woodin cardinal of  $P$ .  
(Genericity situation). Moreover  $\delta_{\alpha+1}^{+P(\alpha+1)} < \delta_{\alpha+1}^+$

4. If  $\alpha$  is limit with  $\text{cf}(\alpha)$  not measurable then

$$P(\alpha) = \bigoplus_{\beta < \alpha} L_{P(\beta)}^{\Sigma_\beta} (P \mid \delta_\beta)$$

Rem  $\bigoplus_{\beta < \alpha} \Sigma_\beta$  is the strategy of  $P(\alpha)$ .

$$\Sigma_\alpha = \bigoplus_{\beta < \alpha} \Sigma_\beta \quad (\text{in this case } \delta_\alpha^{+P(\alpha)} = \delta_\alpha^+)$$

$$\delta_\omega^+ = o(L_P^{\Sigma_\omega} (P \mid \delta_\omega))$$

5. If  $\text{cf}(\alpha)$  is measurable then

$$P(\alpha) = L_{P(\omega)}^{\bigoplus_{\beta < \alpha} \Sigma_\beta} (P \mid \delta_\alpha)$$

$P \upharpoonright \delta_{\alpha+1}$  is a  $\Sigma_\alpha$ -mouse over  $P(\alpha)$  Rem:  $\Sigma_\alpha \neq \bigoplus_{\beta < \alpha} \Sigma_\beta$

$$(\delta_\alpha^+)^{P(\alpha)} = \delta_\alpha^+$$

③ No  $\delta_\alpha$  is measurable

⑥ For every  $\alpha$ : If  $\delta \in (\delta_\alpha, \delta_{\alpha+1})$ :  $P \upharpoonright \gamma$  is  $\text{con}$  iterable above  $\delta_\alpha$ .

⑦ If  $\lambda$  is a limit then  
 $P = P_\lambda = L_{P_\omega}^{\bigoplus \Sigma_\beta} (P \upharpoonright \delta_\lambda)$

If  $\lambda$  is a successor then  
 $P = P_{(\lambda)} = L_{P_\omega}^{\Sigma_{\lambda-1}} (P \upharpoonright \delta_\lambda)$

Definition  $(P, \Sigma)$  is a hod pair

Exercise Suppose  $P$  is a hod premouse s.t.  $\lambda^P > 0$ .

Then  $P \Vdash$  there is a unique strategy of  $P(\omega)$

(If  $\sigma$  was such a strategy has 2 branches then  $\text{cf}(\delta(\sigma)) = \omega$ .)

More generally:

$P \Vdash (\forall \alpha < \lambda) (\text{there is a unique strategy for } P(\alpha))$

Definition  $(P, \Sigma)$  is a hod pair if  $P$  is a hod pm,

$\Sigma$  is an  $\omega_2$ -IS for  $P$  with hull condensation s.t.

$$\text{if } P \xrightarrow[\sigma \text{ via } \Sigma]{} Q \text{ then } \Sigma^Q = \Sigma_{Q, \sigma} \upharpoonright Q$$

Definition  $P \leq_{\text{hod}} Q$  iff  $\exists \alpha P \upharpoonright \alpha = Q \upharpoonright \alpha$ .



Comparison

Rem In general, the notion of comparison is meaningless.  
If in the calculation of  $M, N$   $\delta_0^{M'} \neq \delta_0^{N'}$  then  $M', N'$  come from different hierarchies and thus  $M' \not\leq N'$  and  $N' \not\leq M'$ .

Def Suppose  $(P, \Sigma)$  is a hod pair. Then  $\Sigma$  is fullness preserving (FPR) iff for every  $P \xrightarrow{\vec{\sigma}} Q$  via  $\Sigma$  s.t.  $i_{\vec{\sigma}}$  exists, letting  $\Lambda = \Sigma_{Q, \vec{\sigma}}$ , for any  $\alpha < \aleph^Q$  and all  $\eta \in (\delta_{\alpha, \vec{\sigma}})^Q$  that is a strong cutpoint (i.e.  $\eta$  not overlapped by an extender):  $Q \upharpoonright \eta + \dot{Q} = L_P^{\text{HOD}}(Q \upharpoonright \eta)$  and  $Q(\alpha) = L_{P \upharpoonright \alpha}^{\text{HOD}}(Q \upharpoonright \delta_\alpha)$  if  $\text{cf}(\alpha)$  is measurable

REM If  $(P, \Sigma), (Q, \Lambda)$  are hod pairs s.t.  $\Sigma, \Lambda$  are FPR

Def We say comparison holds for  $(P, \Sigma)$  and  $(Q, \Lambda)$  if there is a stack  $\vec{\sigma}$  on  $P$  according to  $\Sigma$  with last model  $R$  and a stack  $\vec{u}$  on  $Q$  according to  $\Lambda$  on  $Q$  with last model  $S$  s.t.

- $i_{\vec{\sigma}}, i_{\vec{u}}$  exist
- either  $R \trianglelefteq_{\text{hod}} S$  and  $(\Lambda_{S, i_{\vec{u}}})_R = \Sigma_{R, i_{\vec{\sigma}}}$   
or else  $S \trianglelefteq_{\text{hod}} R$  and  $(\Sigma_{R, i_{\vec{\sigma}}})_S = \Lambda_{S, i_{\vec{u}}}$

Fact (AD<sup>+</sup>) [Given a good ~~set~~ (inductive-like) point class]

Given a set of reals  $A$  and a triple  $(N, \delta, \Sigma)$  we say that  $A$  is Suslin captured by  $(N, \delta, \Sigma)$  iff

- $\delta$  is Woodin in  $N$  and  $\Sigma$  is an  $(\omega_1 + 1)$ -IS,  $N$  is "ctbl".
- There are  $\delta^+$ -~~iter~~ <sup>a.c. iter</sup> ~~seq~~ <sup>tree</sup> ~~seq~~ <sup>T, U</sup> on  $N$  s.t. if  $N \xrightarrow{i_{\vec{\sigma}}} M$  <sub>via  $\Sigma$</sub>  then for any  $g \in \text{Col}(w, i(\delta))$ -generic /  $M$   
 $p [i(\vec{\sigma})] M[g] = A \cap M[g]$

Theorem (Woodin) Given a good (inductive-like) pointclass  $\Gamma$  there is a pair  $(R, \Phi)$  and  $F: \mathbb{R} \rightarrow V$  defined on a cone, if  $x \in \text{dom}(F)$  then

$$F(x) = \langle W_x^*, M_x, \delta_x, \Sigma_x \rangle \text{ s.t.}$$

1.  $M_x$  is  $M_1^\Phi(x)$  (so  $x$  codes  $R$ )
2.  $\delta_x$  is the Woodin,  $W_x^* \upharpoonright \delta_x = M_x \upharpoonright \delta_x$   
in  $W_x^*, M_x$
3.  $\Sigma_x$  is the IS of  $M_x$
4.  $W_x^* = L[\Sigma', M_x]$  where  $\Sigma' = \Sigma \cap (M_x \upharpoonright \kappa_x)$  ( $= L[M_x \upharpoonright \delta_x]$ )  
and  $\kappa_x =$  the least inaccessible of  $M_x$

(Think of  $(R, \Phi)$  is  $M_1$  and  $\Gamma$  is  $\Sigma_2^1$ )

5. For every  $A \in \Gamma$ ,  $x \in \text{dom}(F)$  ~~s.t.~~  $(W_x^*, \delta_x, \Sigma_x)$  Suslin captures  $A$

END OF FACT

Theorem ( $AD^+$ ). Suppose  $(P, \Sigma), (Q, \Lambda)$  are hod pairs s.t.  $\Sigma$  and  $\Lambda$  have branch condensation and are FPR. Suppose there is a Suslin cardinal  $\kappa > \omega(\text{code}(\Sigma), \text{code}(\Lambda))$ . Then comparison holds for  $(P, \Sigma)$  and  $(Q, \Lambda)$  via normal trees.

Proof Let  $\Gamma$  be a good pointclass s.t.  $\exists$  Suslin cardinals  $> \Gamma$ ,  $\text{Code}(\Sigma \oplus \Lambda)$ , let  $F$  be as in the theorem for  $\Gamma$ . Let  $x$  be s.t.  $(N_x^*, \delta_x, \Sigma_x)$  Suslin capture  $\text{Code}(\Sigma)$ ,  $\text{Code}(\Lambda)$ .

Aside:  $\langle W_\beta, P_\beta, \Sigma_\beta \mid \beta < \omega \rangle$  is the output of the maximal hod pair construction of  $W_x^*$  iff

1.  $N_0 = L[\vec{E}]^{N_x^* \upharpoonright \delta_0}$  if  $N_0$  has a Woodin cardinal

-11-

then let  $\delta_0$  be the least and let

$$P_0 = (N_0, \delta_0^{+\omega})^{M_0}$$

2. Given  $(N_\alpha, P_\alpha, \Sigma_\alpha)$  let  $N_{\alpha+1} = L[\vec{E}_\alpha, \Sigma_\alpha]^{M_\alpha^* \upharpoonright \delta_\alpha}$

If  $N_{\alpha+1}$  has a Woodin cardinal above  $\circ(P_\alpha)$  let  $\delta_{\alpha+1}$  be the least  $P_\alpha \triangleleft P_{\alpha+1}$  - required.

3. Given  $(N_\alpha, P_\alpha, \Sigma_\alpha)$  for  $\alpha < \lambda$ ,  $\lambda$  limit:

$$\text{let } P_\lambda^* = \bigcup P_\alpha \text{ and } P_\lambda = L_{P_\lambda}^{\oplus} (P_\lambda^*)$$

If  $P_\lambda$  is a hod mouse let  $\Sigma_\lambda$  be its induced strategy and  $N_\lambda = L[\vec{E}_\lambda, \Sigma_\lambda]$

Claim  $\exists \beta$  s.t.  $(P_\beta, \Sigma_\beta)$  is a tail of  $(P, \Sigma)$

$(R, \mathcal{U})$  is a tail of  $(S, \Phi)$  iff  $\exists \vec{T}$  stack on  $S$  s.t.

$$\text{the last model is } R \text{ \& } \mathcal{U} = \Phi_{R, \vec{T}}$$

Proof (By induction)

Case 0:  $(P_0, \Sigma_0)$  exists. Compare  $P, \Sigma$  with  $N_0$ .

By universality,  $P$  goes to some  $N_0$  by so  $P_0$  exists.

27.7.2010 17:00 Richard Ketchum - Discussion -1-

Theorem (AD+DC)<sub>IR</sub> If  $\kappa$  is a limit of Suslin cardinals and  $\kappa$ -ordinal determinacy holds and  $\kappa < \sup$  of all Suslin cards then  $\kappa$  is Suslin

$\kappa$ -ordinal determinacy: If  $f: \kappa^\omega \rightarrow \omega^\omega$  is continuous then  $\mathcal{G}_f^\kappa$  is determined where  $A \in \mathcal{S}_{<\kappa}$ .

Note:  $\mathcal{A} = \mathcal{S}_\kappa$  is a projectively closed algebra

$\langle A_\alpha \mid \alpha < \kappa \rangle$  with  $A_\alpha \in \mathcal{A}$  are mutually disjoint and can pick  $T_\alpha$  s.t.  $A_\alpha = p[T_\alpha]$  we are done -  
- we have a new  $\mathbb{R}$   $\kappa$ -Suslin set. But this is not in general possible, so we need some tool to make the idea work.

Theorem There is a sequence  $\langle S_\alpha \mid \alpha < \kappa \rangle$  of  $\omega$ -BC with each  $S_\alpha \in \mathcal{A}$  ( $S_\alpha \subseteq \gamma < \kappa$ ) s.t.  $A_{S_\alpha} \neq \emptyset$  and  $A_{S_\alpha} \cap A_{S_\beta} = \emptyset$  for  $\alpha \neq \beta$ .

Proof Fix an  $\omega$ -BC with  $A_S \notin \mathcal{A}$ . ~~Look at~~ Define  $T \in T'$  iff  $A_T = A_{T'}$ . Look inside  $L[E, S]$ . Inside  $L[E, S]$  find  $\langle S_\alpha \mid \alpha < \kappa \rangle$  an antichain (i.e.  $S_\alpha$  are  $E$ -incomparable) in  $BC_{<\kappa}^{L[E, S]}$ .

Pf ① If  $\kappa$  is regular, then  $BC_{<\kappa}^{L[E, S]}$  is  $\kappa$ -complete and  $\kappa$ -c.c., so is complete. So there is  $S' \in BC_{<\kappa}^{L[E, S]}$  s.t.  $S \in S'$ .  $\rightarrow = BC^{L[E, S]}/E$

② If  $\kappa$  is singular: Choose  $\langle S_\alpha \mid \alpha < \gamma \rangle$  s.t.  $\forall S_\alpha \mid E \notin \neq BC_{<\kappa}^{L[E, S]}/E$ , mutually incomparable modulo  $E$ .

Exercise: Get  $\langle T_{\alpha, \beta} \mid \beta < \kappa_\alpha \rangle$  an antichain in

in  $BC \stackrel{L[E, S]}{\leq} \kappa_2$  in  $S_2 | E$ , where  $\kappa_2 \uparrow \kappa$  regular.  
 Put these together.  $\square$

Def  $S$  is a strong  $\omega$ -BC,  $S \subseteq \Sigma^*$ , iff for a club of  $\sigma \in \mathcal{P}_{\omega_1}(\Sigma)$ :  $S \cap \sigma \stackrel{\uparrow \text{Borel code}}{\cong} S_\sigma$  then  $A_{S_\sigma} \subseteq A_S$ .

$S \stackrel{\text{II}}{\subseteq} \Sigma^*$ :  $G(S) \stackrel{\text{II}}{\cong} \alpha_0 \alpha_1 \alpha_2 \dots \cdot f$   
 $\text{II}$  wins iff  $S_{f(\omega)} \stackrel{\text{II}}{\subseteq} \Sigma^*$  is a BC and  $A_{S_{f(\omega)}} \subseteq A_S$ .

There is a continuous  $\pi: \Sigma^{\omega} \rightarrow \omega^{\omega}$  so that  $\pi(f)$  st.  
 $\pi(f) \stackrel{\text{II}}{\subseteq} \Sigma^{\omega} \cong S_{f(\omega)}$ . (Look at the payoff set  $B = \{x \in \mathbb{R} \mid A_x \subseteq A_S\}$ )

$S$  is a strong  $\omega$ -BC iff  $\text{II}$  has a w.s. in  $G(S)$

Fact if  $S$  is strong  $\omega$ -BC,  $S \subseteq \Sigma^*$  then  $A_S$  is  $\Sigma_1^1$ -sustained.

$x \in A_S \iff (\exists f)(f \text{ is closed under strategy for II in } G(S) \ \& \ x \in A_{\pi(f)})$   
 $\sum \uparrow$   
 if  $t \in \text{rng}(f)$  then the strategy responds with an element of  $\text{rng}(f)$ .

Now produce strong  $\omega$ -BC from  $\omega$ -BC:

Fix an  $\omega$ -BC  $S$ .

$$Q_S^x = BC \stackrel{L[S, x]}{\text{HOD}} \mid E_S^x \quad \text{so}$$

$$T \in S^x \iff (A_T = A_{T'}) \quad L[S, x]$$

then:

→ We blackbox these two - 3 -

- $L[S, x] \models CH$  on a cone
- $\text{HOD}_S^{L[S, x]} \models V_2^{L[S, x]}$  is inaccessible ( $\omega_2^{L[S, x]} = \theta = \theta_S^x$ )

Claim  $\mathcal{Q}_S^x = \text{BC}_{<\theta_S^x}^{\text{HOD}_S^{L[S, x]}} / E_S^x$

Proof  $\mathcal{Q}_S^x$  is  $\theta_S^x$ -c.c. Otherwise we contradict CH in  $L[S, x]$ .

Now  $\mathcal{Q}_S^x \subseteq \text{BC}_{<\theta_S^x}^{\text{HOD}_S^{L[S, x]}}$ ; let  $D_S^x$  be enumeration in length  $\theta_S^x$  of all MACs.

$S^x$  = that code  $E_S^x$  is equivalent to. Then  $\langle \mathcal{Q}_S^x, D_S^x, S^x \rangle \in \langle \text{HOD}_S^{L[S, x]} \upharpoonright \theta_S^x, S^x \rangle = N_S^x \subseteq \omega_2^{L[S, x]}$

Let

$$S_*^x = \bigwedge_{A \in D_S^x} \bigwedge_{T \in A} (T \wedge T') \wedge S^x \wedge \bigwedge_{A \in D_S^x} \bigvee A$$

Exercise: For  $z$ 's (anywhere)

$$z \in A_{S_*^x} \Leftrightarrow z \text{ is generic for } \mathcal{Q}_S^x \text{ over } \text{HOD}_S^{L[S, x]} \text{ \& } \text{HOD}_S^{L[S, x]}[z] \models z \in A_S$$

$$\text{In } V: A_{S_*^x} \subseteq A_S$$

$$S_* = \prod_S S_*^x / \mu \quad (\mu = \text{Martin measure})$$

$$N_S^\infty = \prod_S N_S^x / \mu = H_S^\infty \upharpoonright \theta_S^\infty = \prod_S \text{HOD}_S^{L[S, x]} / \mu$$

$$\theta_S^\infty = \prod_S \theta_S^x / \mu$$

If  $\theta_S^\infty$ -Det holds  $\Rightarrow S_*$  is a strong  $\omega$ -BC

- 4 -

Will show:

$$\Theta_S^\infty < \kappa \text{ for } S \in \mathcal{J} = S(< \kappa).$$

We can then effectively turn:

$$\langle S_\alpha \mid \alpha < \kappa \rangle \mapsto \langle S_\alpha^* \mid \alpha < \kappa \rangle \text{ where } S_\alpha^* \subseteq \kappa$$

then

$$\bigvee_{\alpha \leq \beta < \kappa} S_\alpha^* \times S_\beta^* \text{ is strong } \omega\text{-BC}$$

This is an exercise. Here use ordinal determinacy by showing that  $\mathcal{I}$  cannot win.

Next

$$\textcircled{A} \quad \prod_S \Theta_S^\infty / \mu < \kappa \quad S \in \mathcal{J}$$

$$\textcircled{B} \quad S_* \text{ is strong of } \Theta_S^\infty\text{-det}$$

28.7.2010 9:30

RALF SCHINDLER - 5-

We defined "model operator". A way of thinking about  $F$ :

$F(M) =$  a mouse  $N \cong M$  over  $M$  least with  $N \models \varphi$

where  $\varphi$  is a  $\Sigma_1$ -formula.

Eq:  $\varphi \equiv \exists$  extender above  $n$  Woodin cardinals ; or

$\varphi \equiv \exists$  extender +  $V$  closed under  $M_n^\#$

Now applications (Steel)

Theorem 1 (Steel) CH + Homogeneous presaturated ideal on  $\omega_1 \Rightarrow$   
HC is closed under  $M_m^\#$  for all  $m$

Theorem 2 (Woodin)  $\omega_1$ -dense ideal on  $\omega_1 \Rightarrow$  HC is closed under all  $M_m^\#$

Theorem 3 (Schindler) Precipitous ideal on  $\omega_1$  + slight strengthening  
of BPFA +  $V$  closed under all  $M_m^\#$ 's,  $m < \omega$ .

Fact Suppose  $V$  closed under  $M_m^\#$  but  $M_{m+1}^\#$  does not exist.

Then by the  $\kappa$ -existence dichotomy,  $\kappa^c$  is  $< \aleph_m$  iterable +  $\kappa$ -end  $\kappa$

If  $\exists$  precipitous ideal on  $\omega_1^\kappa$  then  $\kappa^{+\kappa} = \kappa^{+\aleph_m}$ .

Suppose moreover  $j(\omega_1^\kappa) = \omega_2^\kappa$  where  $j$  is the generic VP map.

Get easy contradiction.

Proof of Theorem 1 Aim is to prove inductively:

(A<sub>n</sub>)  $H_{\omega_2}$  closed under  $M_m^\#$

(B<sub>n</sub>)  $H_{\omega_2}$  closed under  $(M_m^\#)^\# \rightarrow$  Sharp for an inner model  
that is closed under  $M_m^\#$ .

The structure of the argument then is:

(A<sub>n</sub>)  $\Rightarrow$  (B<sub>n</sub>)  $\Rightarrow$  (A<sub>n+1</sub>)



-6-

In the following write  $\mathcal{J}$  for mouse operators.

Def. Let  $\mathcal{J}$  be a mouse operator which is total on  $\mathcal{H}_{\omega_2}$  we say that  $\mathcal{J}$  has the extension property iff  $j(\mathcal{J})$  extends  $\mathcal{J}$  and  $j(\mathcal{J}) \upharpoonright \mathcal{H}C^{V[G]}$  is definable in  $V[G]$ . Here

$j: V \rightarrow M$  is a fixed generic embedding from above.

- $\omega_2$  is inaccessible to the reals iff  $L_{\omega_2}^{\mathcal{J}}(x) \models \omega_1^V \text{ is inaccessible}$  for all  $x \in \mathbb{R}^V$ .

Exercise If there is a precipitous ideal then (A).

Now do  $(B_n) \Rightarrow (A_{n+1})$ .

We don't have global  $\kappa$ , ~~so~~ now, so we cannot do the easy argument ~~for~~ from above. Look at  $(M_n^\#)^\#(\mathbb{R}^V)$ . By CH,  $\mathbb{R}$  has size  $\omega_2$ . Get  $M_{n+1}^\#$ . Notice:  $B_n$  is used here.  $\blacktriangledown$

Case 1  $M_{n+1}^\#$  exists in  $(M_n^\#)^\#(\mathbb{R}^V)$

Case 2 Otherwise. Let  $\kappa = \kappa_{(M_n^\#)^\#(\mathbb{R}^V)}$ . Then  $j(\kappa)$  is definable inside  $j((M_n^\#)^\#(\mathbb{R}^V)) = j((M_n^\#)^\#(\mathbb{R}^{V[G]}))$ .

Show  $j((M_n^\#)^\#(\mathbb{R}^{V[G]}))$  is countably iterable in  $V[G]$ , hence definable. Build the generic extension is definable.

This gives  $j(\kappa) \in V$ .

Remark  $(M_n^\#)^\#(\mathbb{R}^V)$  is not a model of choice. Technically,  $\kappa$  is actually  $\kappa^{\mathcal{HOD}^{(M_n^\#)^\#(\mathbb{R}^V)}}$ . Alternatively, we can add well-ordering of reals to  $(M_n^\#)^\#(\mathbb{R}^V)$  by a homogeneous forcing that preserves  $(M_n^\#)^\#$ . END OF REMARK

Let  $E_j$  be the  $j(\kappa)$  extender derived from  $j$ . We show:  $E_j \perp E_j(\kappa)$ . For this we show:

~~$(j(K), \text{Ult}(j(R), R))$  is~~

$(j(K), \text{Ult}(j(K), E_j \upharpoonright d, d))$  is iterable. (Work inside  $j((M_n^\#)^\#(R^{\text{VCG}}))$ ) This gives us:  $E_j \upharpoonright d$  is on the  $j(K)$ -sequence. Then  $w_1^r$  would be Shelah in  $j(K)$ . The iterability of the above phrase is proved in a standard way, using the 'tos' theorem for  $j$ , combined with an absoluteness argument.

Proof of Thm 2 Since we don't have CH, we cannot construct one local universe as in the proof of Thm 1. Now describe local universes: Pick  $A_0 \subseteq \omega_1$  s.t.  ~~$\text{LCA}_0$~~   $w_1^r = \omega_1^{\text{LCA}_0}$

Let  $A \subseteq \omega_1$ . Let

$$\bar{N}_A = L_{\omega_1} [A_0, A, f] \text{ where } f \text{ is a Skolem function for } (\gamma_{\omega_1}(K), \epsilon, I)$$

<sup>A</sup> collection of countable mice

By adding  $f$  to  $\bar{N}_A$  we guarantee that  $\bar{N}_A$  is closed under  $M_n^\#$

Let

$$N = (\bar{N}_A)^\#$$

Ralf crossed the board through. There are some issues in the notes he will correct. He only sketched the argument in words, but I could not make sense of that. He will correct the notes and let us know.

Proof of Thm 3 Here we inductively prove:

(A)<sub>n</sub> : HC is closed under  $M_n^\#$

(B)<sub>n</sub> :  $\text{Hw}_2$  — u —

(C)<sub>n</sub> :  $V$  — u —

Structure:  $(A)_n \Rightarrow (B)_n \Rightarrow (C)_n \Rightarrow (A)_{n+1}$   
 $\uparrow$   $\uparrow$   $\uparrow$   
 Mentioned above New Done before - the  $K$ -argument

- 8 -

Here is the new argument  $(B)_n \Rightarrow (C)_n$ :

Assume  $M_n^\#(A)$  does not exist. Construct the stack  $S(A) = L_p(A)$  and show  $cf(S(A) \cap \mathcal{O}_n) > \omega$ . Covering argument take  $\aleph_1$ -sized ~~countable~~ substructure; the collapse is of the form  $L_p(\bar{A})$  for  $|\bar{A}| = \omega_1$ . Then  $M_n^\#(\bar{A})$  exists by  $(B)_n$ . Then lift to get  $M_n^\#(A)$ . We in fact get  $cf(S(A) \cap \mathcal{O}_n) > \omega_1$ .

In  $V[G]$  where  $G$  generic for  $\text{Col}(\omega_1, L_p(A) \cap \mathcal{O}_n)$  we have  $cf(\mathcal{O}_n \cap L_p(A)) = \omega_1$ . Look at the tree of attempts to construct an extension  $M \supset L_p(A)$ . Specialize  $T$ . Look at

" $\exists A \in \omega_1 \exists n$ -small  $A$ -pm  $M$  s.t.  $cf(M \cap \mathcal{O}_n) = \omega_1$ ,  
 $T_M$  is special and  $M$  is a mouse"

Here  $T_M$  is constructed over  $M$  the same way as  $T$  over  $L_p(A)$ .

27.7.2010 14:00 Ralf Schindler

Open questions: Do the following statements imply PD?

- (1) Projective measure + category + all  $\Pi_{2n+1}^1$  relations have  $\Pi_{2n+1}^1$  uniformizing functions
- (2) Any two projective sets are Wadge comparable
- (3) There is a  $\aleph_1$ -homogeneous presaturated ideal on  $\omega_1$ .

Next topic: The core model induction L(R)

Definition (Suslin capturing) Let  $A \subseteq \mathbb{R}$ . Let  $N$  be a countable transitive model and let  $T, U$  be trees in  $N$  s.t. (a)  $N \models \text{ZFC} + \delta_0 < \delta_1 < \dots < \delta_k$  are Woodin cardinals  
 (b) In  $N^{\text{Col}(\omega_1, \delta_k)}$   $T, U$  project to complements  
 (c) There is an  $\omega_1$ -IS  $\Sigma$  for  $N$  s.t. of  $i: N \rightarrow N^*$  given

- 9 -

by  $\Sigma$  then  $p[i(T)]^{N^* \text{coll}(w, i(c_k))} \subseteq A$   
 $p[i(U)]^{N^* \text{coll}(w, i(c_k))} \subseteq \mathbb{R} - A$ .

then we say  $N$  is a coarse  $(A, k)$ -Woodin mouse.

Using the extender algebra we can make any real generic over an iterate of  $N$ , so

$$A = \bigcup_{\substack{i: N \rightarrow N^* \\ \text{generic}}} p[i(T)]^{N^*[g]}$$

Example let  $T \in M_n^\#$  be the tree of all attempts to find to find

- a countable  $M$  together with  $\sigma: M \rightarrow M_n^\#$
- $x \in \mathbb{R}$  s.t.  $x$  is generic /  $M$  at  $\sigma^{-1}(\delta_0)$
- $M[x] \models \varphi(x)$  where  $\varphi$  is  $\Sigma_1^1$  given in advance and fix.

let  $U$  be defined similarly with the only difference that

$$M[x] \models \neg \varphi(x).$$

By extender algebra:  $M \models \varphi(x) \Leftrightarrow U \models \varphi(x)$ .

Elaborate on this idea to produce an  $(A, k)$ -mouse.

~~Definition~~

Definition (Witness  $\aleph_2^*$   $W_\alpha^*$ ) let  $A \subseteq \mathbb{R}$  and suppose

$A, \mathbb{R} - A$  have scales s.t. the associated pwo's are in  $J_2(\mathbb{R})$ .

Then for all  $x \in \mathbb{R}$  and all  $k$  there is a coarse

$(A, k)$ -Woodin mouse. We denote this statement

by  $W_\alpha^*$   $N$  with iteration strategy ~~etc~~ on  $J_2(\mathbb{R})$

the core model induction shows  $W_\alpha^*$  by induction on  $\alpha$ .

$W_2^*$  is achieved by the method discussed in the morning.

- 10 -

Fact  $W_{\downarrow}^* \Rightarrow AD_{\downarrow}^{\aleph_1}(\mathbb{R})$

Definition  $\beta$  is called critical iff there is  $A \in \mathbb{R}$  s.t. both  $A$  and  $\mathbb{R} - A$  have scales s.t. the associated prewellorderings are in  $\mathcal{I}_{\beta+1}(\mathbb{R})$  but  $A$  and  $\mathbb{R} - A$  do not have a scale in  $\mathcal{I}_{\beta}(\mathbb{R})$ .

Lemma (Steel, Scales in  $L(\mathbb{R})$ ) ( $W_{\beta}^*$ ) Let  $\beta$  be critical.

Then one of the following is true.

(1)  $\beta = \eta + 1$  for some critical  $\eta$

(2)  $cf(\beta) = \omega$  or ( $cf(\beta) > \omega$  and  $\beta$  inadmissible);

in both cases  $\beta$  is a limit of critical points

If (1) or (2) holds, we refer to the situation as "inadmissible case".

(3)  $\beta$  is not a limit of critical points and, letting

$\alpha = \sup$  of all critical points  $< \beta$  then

either  $[\alpha, \beta]$  is a weak gap

or else  $\beta = \eta + 1$  for some  $\eta$  and  $[\alpha, \eta]$  is a strong gap.

Case (3) is referred to as "end of gap case".

Fact ( $W_{\downarrow}^*$ )  $\Rightarrow AD_{\downarrow}^{\aleph_2}(\mathbb{R})$

Sketch of proof: If not: then there is a non-determined set of reals in  $\mathcal{I}_{\downarrow}(\mathbb{R})$ , i.e. for some  $\gamma < 2$  there is such a set.

~~For~~ The least such  $\gamma$ , ~~is~~ ends a gap, so  $\gamma + 1$  begins a gap.

If  $\gamma$  is not critical then by Kechnis-Woodin: the gap ending by  $\gamma$  is strong, so  $AD_{\beta+1}^{\aleph_1}(\mathbb{R})$ , a contradiction.

Hence  $\gamma$  must be critical.

-11-

Now pick  $A \subseteq \mathbb{R}$ ,  $A \in J_{\gamma+1}(\mathbb{R})$ . WTS  $A$  is determined.

Pick  $N$  a countable  $(A, \omega)$ -Woodin mouse. ~~Let~~ Let  $T, U \in N$  witness this. Then

$N \models p[T]$  is determined

Say  $I$  has a w.s. in the game for  $p[T]$ , denote it by  $\sigma$ .

Let  $x \in \mathbb{R}^T$ . Now assume  $\sigma * x \notin A$ . Now we generate iteration  $N \rightsquigarrow N^*$  to absorb  $x$ . Then use the fact that  $i(T), i(U)$  capture  $A$  + elementarity to get a contradiction.

□ ( $A$  is determined)

Rem the above is just a sketch. One has to argue carefully that there is enough iterability to do genericity iterations.

Now let  $\varphi$  be a  $\Sigma_1$ -formula. There are formulae  $\varphi^m$ ,  $m \in \omega$  st. for all  $z \in \mathbb{R}$  and all  $\alpha \in \mathcal{O}_\omega$

$$J_{\alpha+1}(\mathbb{R}) \models \varphi(z) \Leftrightarrow (\exists m \in \omega) J_\alpha(\mathbb{R}) \models \varphi^m(z).$$

Def Let  $\varphi$  be  $\Sigma_1$  and  $z \in \mathbb{R}$ . A  $(\varphi, z)$ -witness is a  $z$ -premouse  $N$  s.t. for some  $T, U \in N$ :

- $N \models ZFC$
- $N \models \delta_0 < \delta_1 < \dots < \delta_{\omega_0}$  are Woodin
- $\bigcup_{i \in \omega} T_i$  are complementing in  $N \text{Col}(\omega, \delta_{\omega_0})$
- For some  $k$ :  $\text{in } N \text{Col}(\omega, \delta_0)$

$p[T] =$  the  $\Sigma_k$ -theory of  $J_\gamma(\mathbb{R})$  where  $\gamma =$  the least s.t.  $J_\gamma(\mathbb{R}) \models \varphi^k(z)$ .

- $N$  is  $(\omega_1 + 1)$ -iterable.

-12-

Lemma If there is a  $(\varphi, z)$ -witness then  $L(\mathbb{R}) \models \varphi(z)$ .

Proof sketch ~~the~~  $\mathbb{R} \rightarrow N^*$  is computable

$\mathcal{T}_h = \cup \{ p \cap N^* \mid p \in \mathcal{P} \}$  where  $i: N \rightarrow N^*$  is a countable iteration map  
and  $q$  is  $\text{col}(w, z(\omega_1))$ -generic in  $N^*$

By the Dodd-Jensen property,  $\mathcal{T}_h$  is a consistent complete theory of a model of "I am  $J_\alpha(\mathbb{R})$ ". But then  $J_\alpha(\mathbb{R}) \models \varphi^k(z)$ , etc...  $\square$

$(W_\alpha)$  is the statement: If  $\varphi$  is  $\Sigma_1$ ,  $z \in \mathbb{R}$  and  $J_\alpha(\mathbb{R}) \models \varphi(z)$   
then there is a  $(\varphi, z)$ -witness with  $(\omega_1 + 1)$ -IS  $\Sigma$  s.t.  
 $\Sigma \upharpoonright HC \in J_\alpha(\mathbb{R})$ .

Theorem If  $\alpha$  is a limit ordinal then  $W_\alpha^* \Rightarrow W_\alpha$ .

The proof of this theorem expands the proof of the mouse set theorem in  $L(\mathbb{R})$ .

Let  $\Gamma$  be a good pointclass. Eg:  $\Gamma = \Sigma_1(J_\alpha(\mathbb{R}))$  where  $\alpha$  begins a proper weak gap.

$C_\Gamma(z) = \{ x \in \mathbb{R} \mid x \text{ is } \Delta^1_1 \text{ in a countable ordinal} \}$  i.e.  
 $(\exists \alpha < \omega_1) (\exists A \in \Delta \mid (x = x' \Leftrightarrow (x', z, y) \in A \text{ where } \|y\| = \alpha))$

Mouse set theorem:  $C_\Gamma(z) = \mathbb{R} \cap M$  where  $M$  is a  $z$ -mouse,  
with strategy in  $\Delta$ .

Warmup for the proof of the MST. Fix  $\Gamma$ .

For every  $y$  there is  $z \geq_T y$  s.t.  $\exists z$ -mouse  $R$  which has a real outside of  $C_\Gamma(z)$ . [There comes an idea drawn as a picture on the board which I was unable to reproduce here.]

~~1331~~

A version of the production lemma:

TPL II Let  $\delta$  be Woodin,  $\varphi, \psi$  be formulas and  $a, b$  sets. Suppose:  
~~1~~ For all  $G \subseteq \mathbb{Q}_\delta$  and all  $< \delta$ -generic  $h \in V[G]$  and  
 all  $x \in \mathbb{R}^{V[h]}$

$$V[h] \models \varphi(x, a) \iff M \models \psi(x_{\mathbb{Q}, j}(b))$$

Then there are  $< \delta$  absolutely complementing  $T, U$  on  $\omega \times \mathcal{O}_h$   
 s.t. in all  $< \delta$ -generic extensions

$$p[T] = \{x \in \mathbb{R} \mid \varphi(x, a)\}$$

Proof Essentially identical with that of the similar  
 theorem in Larson's book. The formulation of  
 the theorem in the book is slightly different  
 than here.



29.7.2010. 9:30 Grigor Sargsyan - 12 -

Theorem ( $AD^+$ ) Suppose  $(P, \Sigma)$  and  $(Q, \mathcal{L})$  are two hod pairs s.t.  $\mathcal{L}$  and  $\Sigma$  have  $\aleph_1$ -BC and are FPR. Suppose  $\exists$  Suslin cardinal  $\kappa > \omega(\Sigma \oplus \mathcal{L})$ . Then comparison holds for  $(P, \Sigma)$  and  $(Q, \mathcal{L})$ .

Proof Let  $\Gamma$  be a good pointclass s.t.  $\text{Code}(\Sigma \oplus \mathcal{L}) \in \Gamma$ .  
 Let  $x$  be s.t.  $F(x) = (N_x^*, \mathcal{S}_x, \Sigma_x, M_x)$  Bushin captures  $\text{Code}(\Sigma \oplus \mathcal{L})$ .

Claim  $\exists \beta$  s.t. the  $\beta$ -th model of the hod pair construction of  $N_x^*$  is an iterate of  $Q$ , that is:

Let  $\langle N_{\beta}, P_{\beta}, \Sigma_{\beta} \mid \beta < \omega \rangle$  be the hod pair construction of  $N_x^*$ .  $\exists \beta$  s.t.  $(P_{\beta}, \Sigma_{\beta})$  is a tail of  $(Q, \mathcal{L})$ .

Proof Why capturing? Need  $N_x^* \models P$  is  $\mathcal{S}_{x+1}$  iterable &  $\Sigma_x$  moves both  $\Sigma, \mathcal{L}$  correctly.

By induction construct  $\langle U_{\alpha}, Q_{\alpha} \mid \alpha \leq \beta \rangle$  s.t.

1.  $U_0$  is normal tree on  $Q(0)$  according to  $\mathcal{L}$ ,  $Q_1$  is the last model,  $Q_1(0) = P_0, \Sigma_0 = \mathcal{L}_{Q_1(0)}$
2.  $Q_{\alpha}(2^{-1}) = P_{\alpha-1}, \Sigma_{\alpha-1} = \mathcal{L}_{Q_{\alpha}(2^{-1})}$
3.  $U_{\alpha}$  is a tree on  $Q_{\alpha}(\alpha)$  with last model  $Q_{\alpha+1}$  s.t.  $Q_{\alpha+1}(2^{\alpha}) = P_{\alpha}$  and  $\Sigma_{\alpha} = \mathcal{L}_{Q_{\alpha+1}(\alpha)}$ .

Facts Why  $Q_1(0) = P_0$ . By universality  $Q(0)$  iterates to an initial segment of  $N_0$ , say  $N_0 \upharpoonright \gamma$ . By  $\aleph_1$ -FPR of  $\mathcal{L}$ :  $N_0 \upharpoonright \gamma$  is full. So the Woodin in  $N_0 \upharpoonright \gamma$  is Woodin in  $N_0$ .

[ Since the Woodinness <sup>of  $\mathcal{V}$</sup>  is preserved by  $\mathcal{L}_p(N_0(\mathcal{V}))$ , if Woodinness is killed in  $\mathcal{N}$  then there must be an extender overlapping  $\mathcal{V}$ ,  $\frac{1}{2}$  ]  
 $U_0$  is the tree from  $\mathcal{Q}(b)$  to  $P_0$ . Moreover  $\mathcal{L}_{\mathcal{Q}_1(\mathcal{C})}$  is  $\Sigma_0$ , by the Branch condensation lemma.

Continue this process with changed min condition:

None of  $\mathcal{Q}_\alpha^1$ 's is an inaccessible limit of inaccessibles. (To make the situation simpler.)

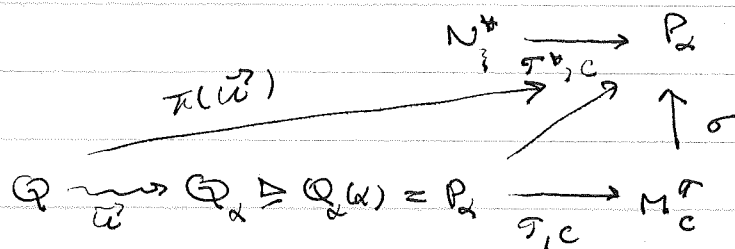
Point: The strategies  $\mathcal{E}$  after the first round agree and this agreement will be maintained. Hence the only difference is in the extender sequences

The comparison terminates by the min condition.

Here is some detail of the above:

Claim  $\mathcal{L}_{\mathcal{Q}_\alpha(\mathcal{C})} = \Sigma_\alpha$  in  $\mathcal{V}$ . (In  $\mathcal{V}$ : by absoluteness argument.)

Proof Let  $\mathcal{T}$  be an  $\mathcal{P}_\alpha$  in  $\mathcal{M}_x^*$



Let  $\mathcal{C} = \Sigma_\alpha(\mathcal{T})$ ,  $\bar{\pi} = \sigma \circ i_{\mathcal{C}}^{\mathcal{T}}$ . By elementarity  
 $i_{\bar{\pi}}(\vec{u}) = \sigma \circ i_{\mathcal{C}}^{\mathcal{T}} \circ \vec{u}$

So  $\mathcal{T} \wedge \mathcal{M}_\mathcal{C}^{\mathcal{T}}$  is by  $\mathcal{L}$ , from branch condensation.  $\square$

◇ - comparison argument, or comparison in ZFC context

~~address~~ Intended applications: Divergent models of  $AD^+$  implies  $(\exists M) \mathbb{R} \in M, \mathcal{O}_M \subseteq M \ \& \ M \models AD_{\aleph_2} + \Theta$  regular.

Given  $(P, \Sigma)$  and  $(Q, \Lambda)$  s.t.  $\lambda^P, \lambda^Q$  are limit we want to compare them.

Minimal disagreements Suppose  $(P, \Sigma)$  and  $(P, \Lambda)$  are hod pairs with  $\Sigma \neq \Lambda$

Definition (Essential components) Suppose  $P$  is a hod pm with  $\lambda^P$  limit and  $\vec{T}$  a stack on  $P$ .  $\vec{T} = \langle \vec{T}_\alpha, M_\alpha, M_\alpha^*, i_{\alpha, \beta} \mid \alpha < \beta < \gamma \rangle$  are the essential components of

- Letting  $E$  be the first extender in  $\vec{T}$ ,  $M_0 = P$ ,  $M_0^* \trianglelefteq P$  minimal s.t.  $E \in M_0^*$  and  $\vec{T}_0$  is the largest IS of  $\vec{T}$  based on  $M_0^*$ .  
 $M_1$  = the last model of  $\vec{T}_0$ .
- $M_2$  = the last model of  $\bigoplus_{\beta < \alpha} \vec{T}_\beta$  of  $\lambda$  limit;  $M_\alpha$  is the last model of  $\bigoplus_{\beta < \alpha} \vec{T}_\beta$ .
- Let  $E$  be the first extender used on  $\vec{T}$  after  $\bigoplus \vec{T}_\alpha$ ;  
 $M_\alpha^* \trianglelefteq_{\text{hod}} M_\alpha$  the least s.t.  $E \in M_\alpha^*$  and  $\vec{T}_{\alpha+1}$  is the longest IS based on  $M_\alpha^*$ .
- $i_{\alpha, \beta} \cong : M_\alpha \rightarrow M_\beta$

For hod pairs  $(P, \Sigma), (P, \Lambda)$  as above:  $\vec{T}$  is a minimal disagreement iff letting  $\langle \vec{T}_\alpha, M_\alpha^*, M_\alpha, i_{\alpha, \beta} \mid \alpha \leq \beta < \gamma \rangle$  be the essential components then

- $\lambda_{M_\alpha^*}$  is a successor
- if  $\alpha < \gamma$  then  $\Sigma_{M_\alpha^*} = \Lambda$
- $\Sigma_{M_\alpha^*} \neq \Lambda_{M_\alpha^*}$  and  $\vec{T}_\alpha$  witnesses it, i.e.

$$\Sigma_{M_\alpha^*}(\vec{T}_\alpha) \neq \Lambda_{M_\alpha^*}(\vec{T}_\alpha)$$

Lemma There is a minimal disagreement.

Proof (Sketch) If it is at limit stage, look at the essential components. Some has had a disagreement at a successor stage, as otherwise ~~the~~ the iteration would be well-founded.

Given a hod pair  $(P, \Sigma)$  let  $\Sigma$  has branch condensation.

$$B(P, \Sigma) = \{Q \mid \exists \Sigma\text{-iterate } R \text{ of } P \text{ s.t. } Q \sqsubseteq_{\text{hod}} R\}$$

$$I(P, \Sigma) = \{Q \mid Q \text{ is a } \Sigma\text{-iterate of } P \text{ and } i: P \rightarrow Q \text{ exists}\}$$

$$\Gamma(P, \Sigma) = \{A \mid \exists Q \in B(P, \Sigma) \text{ s.t. } A \sqsubseteq_w \text{Code}(\Sigma_Q)\}$$

Definition ( $\exists FC$ ) Suppose  $\Gamma \in \mathcal{P}(\mathbb{R})$  is s.t.  $L(\Gamma, \mathbb{R}) \models AD^+$

and  $(P, \Sigma)$  is a hod pair with  $\lambda^P$  limit. Then

$\Sigma$  is  $\Gamma$ -fullness preserving ( $\Gamma$ -FPR) iff for all

$Q \in I(P, \Sigma)$  and all  $\delta < \lambda^Q$  and  $\eta \in (\mathcal{D}_{\delta, \mu_{\delta+1}})^\mathbb{Q}$ ,

$\eta$  strong outpoint of  $Q$  the following holds:

$$Q \upharpoonright \eta + \mathbb{Q} = L_P^{\Gamma, \Sigma_Q(\eta)}(Q \upharpoonright \eta) =$$

$$= \bigcup \{M \text{ is sound, projecting to } \mathbb{Q} \upharpoonright \eta \text{ with strategy in } \Gamma\}$$

Theorem Suppose  $(P, \Sigma), (Q, \Omega)$  are two hod pairs with

$\lambda^P, \lambda^Q$  limit.  $\Sigma, \Omega$  ~~here~~ have BC. Assume

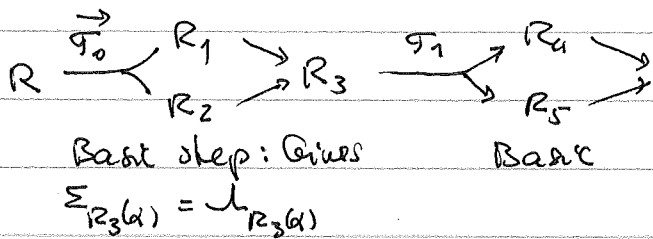
that letting  $\Gamma = \Gamma(P, \Sigma) \cup \Gamma(Q, \Omega)$  then  $L(\Gamma, \mathbb{R}) \models AD^+$ ,

$\Gamma = \mathcal{P}(\mathbb{R})^{L(\Gamma, \mathbb{R})}$  and  $\Sigma, \Omega$  are  $\Gamma$ -FPR.

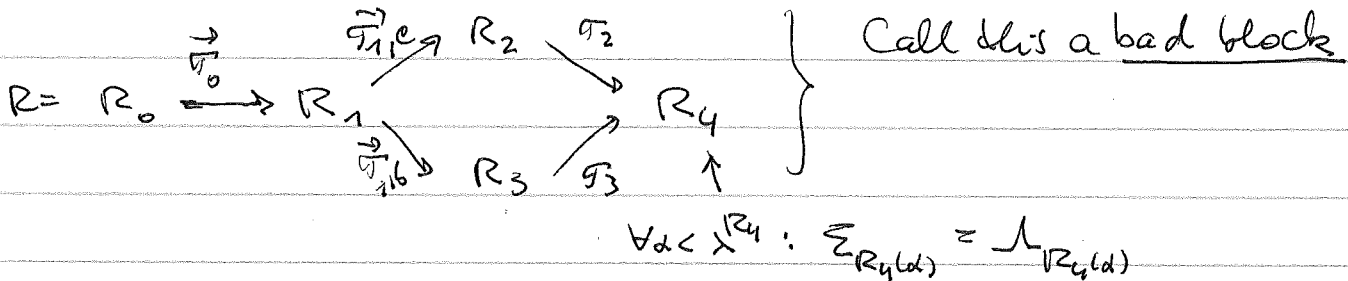
Then comparison holds for  $(P, \Sigma)$  and  $(Q, \Omega)$ .

Proof First: We can compare  $P \xrightarrow{g} R$  and  $Q \rightarrow R$  s.t.  $\forall \alpha < \lambda^R \sum_{R(\alpha)} = \bigwedge_{R(\alpha)}$ .

How to do it: Use our comparison in  $AD^+$ -models to do the following: In the  $AD^+$ -models, because we have comparison there. We get  $(R, E_R)$  and  $(R, \bigwedge_R)$  and  $\forall \alpha < \lambda^R \sum_{R(\alpha)} = \bigwedge_{R(\alpha)}$ . Let  $(R, \Sigma), (R, \bigwedge)$  be two hod pairs s.t.  $\forall \alpha < \lambda^R \sum_{R(\alpha)} = \bigwedge_{R(\alpha)}$ . Want to compare. Construct a "diamond" sequence:



$\vec{g}_0$  is a minimal disagreement:



Claim There is a Bad sequence of length  $\omega_1$ , i.e.

$\langle B_\alpha \mid \alpha < \omega_1 \rangle$  with

$$B_\alpha = \langle (R_\alpha^i \mid i \leq 4), (\vec{g}_i \mid i \leq 3), (e_\alpha, b_\alpha) \rangle$$

$$i_\alpha^c = i_0 \circ i_2 \circ i_1 \circ i_0, \quad i_\alpha^b = i_3 \circ i_1 \circ i_0 \circ i_0 \quad \text{s.t.}$$

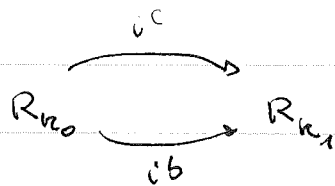
1.  $B_\alpha$  is a bad block
2.  $R_\alpha^d = R_0^{d+1}$
3. The direct limit using  $i^b$ 's is equal to that using  $i^c$ 's.

4.  $R_\beta^0 = \text{dir lim}_{\alpha \in I} \langle R_\alpha^0 \xrightarrow{i_\alpha} R_\beta^0 \rangle$  of  $\beta$  limit

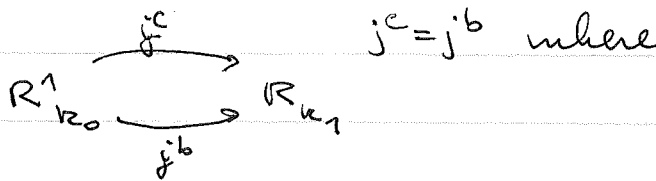
Let  $M_0 \leftarrow M_1 \leftarrow H_{\alpha_2}$  elementary with  $M_0 \xrightarrow{\pi_0} H_{\alpha_2} \xrightarrow{\pi_1} M_1$

and  $\vec{B} \in \text{rng}(\pi_0)$ .  $\kappa_0 = \text{cr}(\pi_0)$ ,  $\kappa_1 = \text{cr}(\pi_1)$ .

Exercise :  $\pi = i^c = i^b$  where



Claim



Proof : Standard.

Now use branch condensation for  $\Sigma_{R_{\kappa_0}^1}$  for stacks

$$g_{\kappa_0}^1 \xrightarrow{\sim} c, \quad k: R_{\kappa_0}^2 \rightarrow R_{\kappa_1}^0$$

and the bottom iteration  $\Rightarrow g_{\kappa_0}^1 \xrightarrow{\sim} c$  is by  $\Sigma_{R_{\kappa_0}^1} \xrightarrow{\sim} \square$

29. 7. 2010 RALF SCHINDLER 14:00.

Fix a weak gap  $[\alpha, \beta]$  where  $\alpha < \beta$ . Let  $m$  be least such that  $\sum_{i=0}^m \mathcal{P}_{\alpha}^{J_{\beta}(\mathbb{R})} = \mathbb{R}$ . Then the pointclasses  $\sum_{m+2}^{J_{\beta}(\mathbb{R})}$  have the scale property. Assume  $W_{\beta}^*$ . We want to prove  $W_{\beta}^*$ .

Fact Every set of reals in  $J_{\beta}(\mathbb{R})$  has a scale whose prewellorderings are in  $J_{\beta}(\mathbb{R})$ . Also, Every  $\sum_m^{J_{\beta}(\mathbb{R})}$  is countable union of sets in  $J_{\beta}(\mathbb{R})$ .

Definition  $N$  is  $\alpha$ -suitable iff  $\text{ht}(N) = ((\delta^N)^+ + \omega)^N$  where  $\delta^N$  is the unique Woodin cardinal in  $N$ . Setting  $\Gamma = \sum_1^{J_{\beta}(\mathbb{R})}$ :  
~~For all~~ For all  $m \in \omega$ ,  $C_p((N | (\delta^N)^+ + \omega)^N) = N | ((\delta^N)^+ + \omega + 1) \upharpoonright N$

An iteration strategy  $\Sigma$  is  $\alpha$ -fullness preserving iff for all iterations  $N \rightsquigarrow N^*$  according to  $\Sigma$  with iteration map  $i$ , the premouse  $\Sigma^*$  is also  $\alpha$ -suitable. If there is a drop on the main branch of  $N \rightsquigarrow N^*$  we require that  $J_{\alpha}(\mathbb{R}) \upharpoonright N^*$  is iterable.

Plan Construct witnesses to  $(W_{\beta+1}^*)$  as  $\Sigma$ -nice  $N^*$  with finitely many Woodin cardinals where  $\Sigma$  is a nice IS for an  $\alpha$ -suitable mouse. A  $\Sigma$ -mouse is an  $F$ -mouse for an appropriate  $F$ .

Lemma Let  $N$  be an  $\alpha$ -suitable pm. Let  $A \in J_{\beta}(\mathbb{R})$ .

Say  $A \in (\mathcal{O}^{\beta})_{\beta}^{J_{\beta}(\mathbb{R})}$ . There is a term  $\tau \in \text{Col}(w, \delta)$  s.t.

for comeager many  $g$  that are  $\text{Col}(w, \delta)$ -generic /  $N$ :

$$\tau g = A \cap N[g]$$

(So  $\tau$  "captures"  $A$ .)

Proof (Sketch)

$(p, \sigma) \in E$  iff  $p \in \text{Col}(w, \delta)$ ,  $\sigma$  is a nice name for a real

• for comeager many  $g$ :  $p \cap g \rightarrow \sigma^g \in A$

Claim 1  $\alpha \in N$

The proof of this uses that  $N$  is  $\Gamma$ -full and also that  $\sum_1 \mathbb{J}_\beta(\mathbb{R}) = \sum_1 \mathbb{J}_\alpha(\mathbb{R})$   $\square$

Claim 2  $\alpha$  captures  $A$   $\square$

~~Claim 2~~

Definition A sjs (self-justifying system) is a countable collection of sets  $A \subseteq \mathbb{R}$  s.t.  $\forall A \in \mathcal{A} \subseteq \mathbb{J}_\beta(\mathbb{R})$

- the universal  $\sum_m \mathbb{J}_\beta(\mathbb{R})$  set <sup>the union of</sup> is a countable collection of sets in  $\mathcal{A}$
- $\mathcal{A}$  is closed under complements
- $\forall A \in \mathcal{A}$  there is a scale on  $A$  s.t. the individual pwo's are in  $\mathcal{A}$

$DC_{\mathbb{R}} \Rightarrow$  There is a sjs.

Lemma Let  $N$  be  $\alpha$ -suitable and let  $\mathcal{A}$  be a sjs s.t. every  $A \in \mathcal{A}$  is captured over  $N$ . If  $\pi: \bar{N} \rightarrow N$  is s.t. all  $\alpha^N_{A, (\delta^+)^N}$  are in  $\text{rng}(\pi)$  then  $\bar{N}$  is  $\alpha$ -suitable. Moreover

$\pi^{-1}(\alpha^N_{A, (\delta^+)^N}) = \alpha^{\bar{N}}_{A, (\delta^+)^{\bar{N}}}$  and  $\text{rng}(\pi)$  is cofinal in  $\delta$ ,  
~~and~~ if  $\pi \upharpoonright \delta = \text{id}$  then  $\pi = \text{id}$ .

Proof (Sketch) Fix  $A \in \mathcal{A}, \xi_n \in \mathcal{A}$ . Have  $\tau_n$  capture  $\xi_n$  over  $N$ . For  $g \in \text{Col}(\omega_1, \delta)$ -generate  $N$ : (from a given comeager set.)

$$U_n^g = \{ (x \upharpoonright m, \varphi_0(x), \dots, \varphi_{m-1}(x)) \mid x \in A \cap N[g] = \alpha^g_A \}$$

All  $U_n \in N \ \forall n$ . Let  $U = \bigcup_n U_n$ . Easy:  $A \cap N[g] \in P[U] \subseteq A$ .

Now  $\prod_{\text{Col}(\omega_1, \delta)}^N (\forall x) (x \in \alpha_A \rightarrow \underbrace{(x \upharpoonright m, \varphi_0(x), \dots, \varphi_{m-1}(x))}_{\in U} \in \check{U}_m)$

$$\prod_{\text{Col}(\omega_1, \delta)}^{\bar{N}} \dashv \vdash \pi^{-1}(\alpha_A) \dashv \vdash \underbrace{\pi^{-1}(\varphi_0(x)) \dots}_{\in \pi^{-1}(U_m)} \in \pi^{-1}(U_m)$$



So of  $\bar{U} = \bigcup_n \bar{U}_n$  then if  $x \in \pi^{-1}(\tau_N)^{\#} \Rightarrow (x, \vec{\alpha}) \in \bar{U}$

So  $x \in p[U] \in A$ .

15:30 29.7.2010 RALF SCHINDLER

Definition Let  $A \in \mathbb{R}$ ,  $A \in \mathcal{J}_\beta(\mathbb{R})$ . We say that  $N$  is A-iterable iff there is an iteration strategy  $\Sigma$  for  $N$  s.t. whenever  $N \rightsquigarrow N^*$  is an iteration ~~strategy~~ according to  $\Sigma$  then

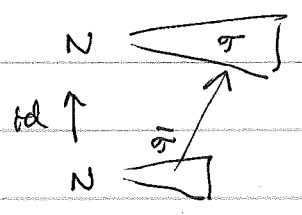
$$i \left( \tau_{A, (\sigma^{+n})N}^N \right) = \tau_{A, (\tau(\sigma) + N)N^*}^{N^*}$$

Theorem Given  $A \in \mathcal{J}_\beta(\mathbb{R})$  there is an  $\alpha$ -suitable A-iterable premouse.

Let  $\mathcal{A} \subseteq \mathcal{J}_\beta(\mathbb{R})$  be an sjs. Then there is actually one ~~sjs~~  $N$   $\alpha$ -suitable A-iterable for all  $A \in \mathcal{A}$ . This iteration strategy for  $N$  will be guided by the terms  $\tau_A$  for  $A \in \mathcal{A}$  in the following sense:

$$b = \Sigma(\mathcal{J}) \text{ iff } i_b \left( \tau_A^N \right) = \tau_A^{M_b^\mathcal{J}}$$

Therefore  $\Sigma$  satisfies condensation: (Hull condensation)



$\bar{\sigma} \rightarrow \sigma$   $\sigma$  according to  $\Sigma$ .  
then  $\bar{\sigma}$  is according to  $\Sigma$ .

Now fix a universal  $\Sigma_{\mathcal{J}_\beta(\mathbb{R})}$  set that is a countable union of sets ~~of the form~~ from  $\mathcal{A} \subseteq \mathcal{J}_\beta(\mathbb{R})$  when  $\mathcal{A}$  is sjs. Let  $N$  be  $\alpha$ -suitable s.t. there is one strategy  $\Sigma$  for  $N$  witnessing  $N$  is A-iterable for all  $A \in \mathcal{A}$  +  $\Sigma$  has condensation.

Now for every  $\kappa$  we want to produce " $\Sigma$ -nice" with  $\delta$  Woodin cardinals.

1<sup>st</sup> Step: Produce  $L^\Sigma(N)$ . (Inside the right universe.)

We want to construct this as an  $F$ -mouse. Need to find what  $F$  is. We let

$$L^\Sigma(N) = L[N, S] \quad S \in O_M.$$

$$\mathcal{J}_\delta^\Sigma(N) = \mathcal{J}_\delta[N, S, \mathcal{F}] \rightarrow \text{this is amenable, as } \mathcal{F} \text{ was chosen to be minimal with } \mathcal{F} \in \mathcal{J}_\delta^\Sigma(N) \text{ s.t. } b \notin \mathcal{J}_\delta^\Sigma(N)^\Sigma.$$

Then  $L^\Sigma(N)$  is a fine structural model by construction for  $\Sigma$ .

In general: Produce  $K^{c_1 \Sigma}(N)$ .

The difference between this and the  $L^\Sigma(N)$  case:

In  $L^\Sigma(N)$  case we don't need to core down, whereas

in the  $K^{c_1 \Sigma}(N)$  we may need to core down even at the stages where we are adding the predicate for the new branch.

Definition ( $\Sigma$ -premouse) A structure of the form

$$\mathcal{J}_\delta[N, \vec{E}, S]$$

For the right  $\gamma, \gamma'$   $S \upharpoonright (\gamma, \gamma')$  codes the branch (or an IS thereof)  $b$  through the  $\mathcal{J}_\delta[N, \vec{E}, S]$  - least  $\mathcal{F}$  (not yet dealt with) where  $b = \Sigma(\mathcal{F})$ .

Inductively we want to ~~produce~~ prove that  $M_m^{\#, \Sigma}$  exists for all  $\kappa$  ( $\kappa$  need not be a real - depends on the application). We ~~now~~ claim that the  $M_m^{\#, \Sigma}(\kappa)$  witness  $(W_{\beta+1}^*)$ .

Now let  $B$  be a universal  $\Sigma_m^{\mathcal{J}_B(\mathbb{R})}$ -set,  $B = \bigcup_m A_m$ ,  
 all  $A_m \in \mathcal{A}$  hence captured over  $N$

$N^* = M_m^\#$ ,  $\Sigma(N, x)$  can see the sequence of terms  $\tau_{A_m}^N$ .

Claim  $N^*$  has a term capturing  $B$ .

Ralf sketched the idea but I was not able to record it.

29.7.2010 4:50 DISCUSSION: JOHN STEEL -1-

Sketch of a proof of  $N_x^*$  exists

Assuming  $AD^+$ . Let  $\Gamma$  be lightface inductive like with scale  $(\Gamma)$ .

Let  $A \in \Delta$ . Assume every set in  $\check{\Gamma}$  is Suslin.

Let  $\Gamma_1$  be an inductive like pointclass with scale  $(\Gamma_1)$  and  $\Gamma \not\subseteq \Delta_1$ .

Let  $T, U$  be trees on a universal  $\Gamma_1$ -set and its complement.

Look at  $HOD_{(T,U)}^{L[T,U,x]}$  where  $x$  is of sufficiently large Turing degree

$$N = HOD_{(T,U)}^{L[T,U,x]} \neq w_2^{L[T,U,x]} \text{ is Woodin.}$$

Let  $\delta_0 =$  the least s.t.  $C_\Gamma(V_{\delta_0}^N) \neq \delta_0$  is Woodin

i.e.  $\delta_0$  is Woodin w.r.t. all sets in  $C_\Gamma(V_{\delta_0}^N)$

Let  $T_\Gamma$  be a tree of scale on the universal  $\Gamma$  set

$$L(T_\Gamma, V_{\delta_0}^N) \neq \delta_0 \text{ is Woodin}$$

w.o. on  $V_{\delta_0}^N$

Let  $\Gamma \not\subseteq \Omega \subseteq \Gamma_1$  where  $\Omega$  another pointclass,

$(R, S)$  trees for universal  $\Omega$ -set + its complement,

one easily defined from  $(T, U)$

$$L_\alpha(R, S, V_{\delta_0}^N) \neq \delta_0 \text{ not Woodin} \\ \supseteq C_\Omega(V_{\delta_0}^N)$$

So  $L_\alpha(R, S, V_{\delta_0}^N) \neq \delta_0$  is not Woodin.

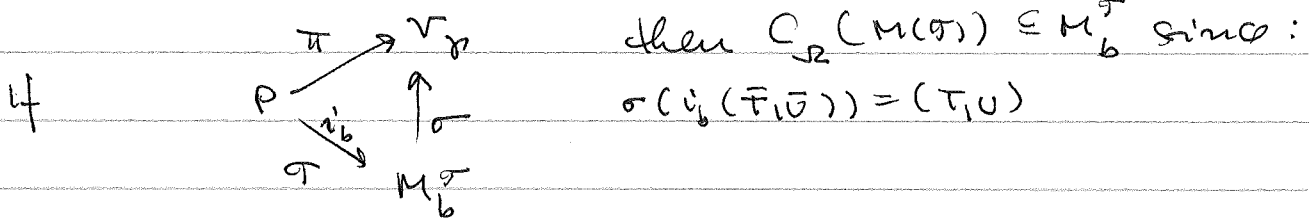
Why: Otherwise take a hull  $L_\alpha(\bar{R}, \bar{S}, V_\gamma^N)$  where  $\gamma < \delta_0$ .

$$\text{Then } C_\Gamma(V_\gamma^N) \subseteq L_\alpha(\bar{R}, \bar{S}, V_\gamma^N)!$$

Now working in  $N$ : let  $P$  be countable,  $\pi: P \rightarrow V_\gamma^N$  where  $\gamma$  large with  $(T, U)$  in  $\text{rng}(\pi)$ ,  $\delta_0 \in \text{rng}(\pi)$ .

(\*)  $N \models P$  is  $\omega_1$ -iterable by choosing the unique cofinal branch  $b$  of  $\mathcal{T}$  s.t.  $C_\Omega(M(\mathcal{T})) \subseteq M_b^\sigma$ .

since such branch is the unique cofinal realizable branch.



$P \models \underbrace{"I \text{ am full at } \delta_0"}_\varphi$

is true of any coding

~~$x = x(V_{\delta_0+1}^P, g)$~~  where  $g$  is col( $\omega, \delta_0^P$ )-gen

as certified by  $\bar{T}$ . So

$\Vdash^P_{\text{Col}} \underbrace{(\varphi, x_g) \in P[\bar{T}]}_{\text{col}}$ . But this corresponding statement

is true in  $V_{\delta_0}$ , so also in  $P$ . Hence  $M_b$  satisfies the same statement of  $i_b(\bar{T})$ . Since  $\sigma \circ i_b(\bar{T}) \mapsto T$ ,

$\varphi$  is really true of any  $x = x_g(i_b(V_{\delta_0+1}^P), g)$ .

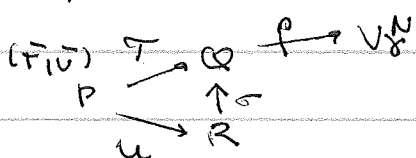
Also  $M_b^\sigma \models$  There is  $f: \delta(\mathcal{T}) \rightarrow \delta(\mathcal{T})$  witnessing non-Woodinness of  $\delta(\mathcal{T})$  in  $C_\Omega(M(\mathcal{T}))$

Remark:  $\mathcal{T}$  based on  $V_{\delta_0+1}^P$ . But we cannot have two distinct branches with this property, i.e. that  $\delta(\mathcal{T})$  is not  $C_\Omega(M(\mathcal{T}))$ -Woodin in  $M_b^\sigma$ . So (\*) defines an iteration strategy. Let  $\Sigma_P^N =$  this strategy as defined in  $N$ .

Then  $\Sigma^P$  extends to  $V$  via the same definition because  $N$  has  $(T, U)$  and thus is sufficiently correct. Now

$N \models \Sigma_P$  has condensation (hull or branch)

Why:



Here  $\rho \circ \sigma$  shows  $C_\Omega(M(\mathcal{T})) \subseteq R$

(The argument with term relations is a local version of this.)

But then  $\Sigma_P$  has condensation in  $V$ .

To get  $A$ -iterability just put trees for  $A, \neg A$  in the range of

$$\pi: P \rightarrow V_{\delta_x}^N.$$

To get full properties of  $N_x^*, \delta_x, \Sigma_x, M_x$ .  $A \in R$ .

We have

①  $N_x^* \models \delta_x$  is Woodin

②  $\Sigma_x(N_x^*, \Sigma_x)$  Suslin captures  $A$  at  $\delta_x$ .

$$(M_x = N_x^* \upharpoonright \delta_x) \quad M_x \upharpoonright \delta_x = N_x^* \upharpoonright \delta_x$$

$$M_x = M_1^{(P, \Sigma_P)}(x) \quad "x \geq_{T, P}"$$

$\Sigma_x \upharpoonright$  trees on  ~~$M_x$~~   $M_x \upharpoonright \delta_x$   
which  $\in M_x / \kappa_x$ .

$\delta_x =$  The Woodin of  $M^{(P, \Sigma_P)}(x)$

$\kappa_x = 1^{st}$  inaccessible of  $M_x > \delta_x$

$$N_x^* = L(M_x, \Sigma_x)$$

~~Not RS for  $M_x$~~

Correction:  $\Sigma_x =$  the canonical strategy for  $M_x \upharpoonright$  trees on  $M_x \upharpoonright \delta_x$  which are  $\in M_x / \kappa_x$ .

Proof that the above works follows the proof that it works when  $(P, \Sigma_P) = \emptyset$  (For  $M_1$  and its strategy). However there is a problem:  $\Sigma_P$  determines itself on generic extensions?  
Fix: In the paper "Derived models associated to mice" (Section 11?)

Theorem (Revising ZFC comparison)  $(P, \Sigma), (Q, \Lambda)$  two pairs s.t. letting  $\Gamma = \Gamma(P, \Sigma) \cup \Gamma(Q, \Lambda)$  we have  $L(\Gamma, R) \models AD^+$ .

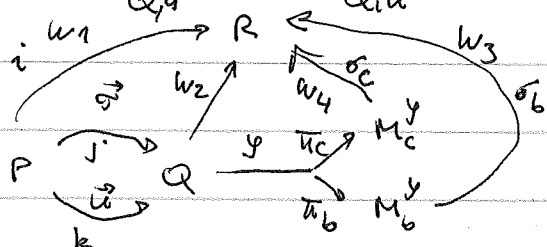
$\Sigma, \Lambda$  are  $\Gamma$ -FPR. Also assume: For any  $S \in B(P, \Sigma), R \in B(Q, \Lambda)$ :  $\Sigma_S$  and  $\Lambda_R$  have branch condensation and  $\Sigma, \Lambda$  have hull condensation. Then comparison holds for  $(P, \Sigma)$  and  $(Q, \Lambda)$ .

Remark One may vary the argument; at initial steps one may avoid the use of hull condensation.

Theorem Suppose  $(P, \Sigma)$  is a hod pair s.t.  $\Sigma$  has BC and is FPR. Then  $\Sigma$  is positional. (i.e.  $\Sigma_{Q, \vec{\sigma}}$  is independent of  $\vec{\sigma}$ , so  $P \rightarrow Q \xrightarrow{\vec{\sigma}} R$   $i=j$  commuting.)

Proof WLOG  $\lambda^P = 0$ . ~~Let  $\mathcal{Y}$  be a normal tree on  $Q$ .~~  $P \xrightarrow{\vec{\sigma}} Q$ .  
Want  $\Sigma_{Q, \vec{\sigma}} = \Sigma_{Q, \vec{u}}$ . Let  $\mathcal{Y}$  be a normal tree on  $Q$ .

Want:  $\Sigma_{Q, \vec{\sigma}}(\mathcal{Y}) = \Sigma_{Q, \vec{u}}(\mathcal{Y})$ . Can arrange the following



~~obtained by condensation~~

$$\Sigma_{R, w_1} = \Sigma_{R, \vec{\sigma} \smallfrown w_2} = \dots$$

How to arrange: Exercise - do the  $AD^+$ -comparison argument

Claim  $i = \sigma_c \circ \pi_c \circ j = \sigma_b \circ \pi_b \circ k$  By Dodd-Jensen proof.

Idea: D-J proof works as long as  $P \xrightarrow{\vec{\sigma}} Q \xrightarrow{\vec{\tau}} R$   $\Sigma_{Q, \vec{\sigma}} = \Sigma_{Q, \vec{\tau}} \Rightarrow i^{\vec{\sigma}} = i^{\vec{\tau}}$

Moreover:  $M_c^y = M_b^y (= L_{P \cup Q}(M(\mathcal{Y})))$ .

$W_3 = W_4$  up to the last branch. ~~Let  $W$  be the part of  $W_3, W_4$  without the last branches,  $d$  the branch in  $W_3$  and  $e$  in  $W_4$ .~~ (Because the two models agree below  $\delta(\mathcal{Y})$ ). Let  $W$  be the part of  $W_3, W_4$  without the last branches,  $d$  the branch in  $W_3$  and  $e$  in  $W_4$ . Then  $\text{rng}(\sigma_e) \cap \text{rng}(\sigma_b) \cap \delta^R (= \delta(W))$  is cofinal in  $\delta(W)$ .

So  $d=e \Rightarrow \sigma_c = \sigma_e$ . Now cancel in the above claim:

-19-

Get  $\pi_b \circ k = \pi_c \circ j$  so  $\text{rng}(\pi_c) \cap \text{rng}(\pi_b)$  is cofinal in  $\delta(\mathcal{V})$ .

So  $c = b$ .

The general case is treated similarly.  $\square$

### The mouse set conjecture

Strong mouse capturing (SMC) is the statement.

Suppose  $(P, \Sigma)$  is a hod pair s.t.  $\Sigma$  has a branch condensation and is FPR. Then for all  $y$  coding  $P$ :

$$x \in \text{OD}(y, \Sigma) \iff x \text{ is a } \Sigma\text{-mouse over } y$$

$$\text{Let } \Gamma = \Sigma_1^2(\text{Code}(\Sigma))$$

$$C_\Gamma = \{x \in \mathbb{R} \mid x \in \text{OD}(\Sigma, y)\}$$

We need  $C_\Gamma$  for transitive  $a$  s.t.  $P \in a$ .

Mouse capturing for reals  $\Rightarrow$  mouse capturing for transitive sets, i.e.

$$b \in C_\Gamma(a) \iff \exists a\text{-mouse } M \text{ s.t. } b \in M$$

SMSC (Strong mouse set conjecture) Assume  $\text{AD}^+$  + no mouse with superstrong. Then SMC holds.

SME for reals  $\Rightarrow$  SME for transitive sets

can be proved, but is difficult for hod mice. This is the  $S$ -construction. The difficulty lies in the fact that we generically collapse the transitive set. If we have only ordinary mice, i.e. only extenders, this is not going to change the hierarchy (we can "batten" the extenders).

But in the case of hod mice we are introducing new iteration trees by collapsing, so we may introduce new branches.

Solution: Change the hierarchy. Then one can do  $S$ -constructions.



Theorem Assume  $AD^+$  + no  $\Gamma$  s.t.  $L(\Gamma, \mathbb{R}) \models AD_{\mathbb{R}} + \Theta$  regular.  
 Then SMC holds

Rem Con (Woodin limit of Woodins)  $\Rightarrow$  Con ( $AD_{\mathbb{R}} + \Theta$  regular)

The computation of hod Assume  $AD^+$  + SMC.

Definition (Suitable pair) Notation: If  $P$  is a hod pm and  $\lambda^P$  is a successor ~~of  $P$~~  then  $P^- = P(\lambda^P - 1)$

~~$(P, \Sigma)$~~  <sup>is</sup> a suitable pair. If  $(P^-, \Sigma)$  is a hod pair s.t.  $\Sigma$  has branch condensation and is FPR and  $P$  is a hod pm

1.  $P$  is a  $\Sigma$ -premouse over  $P^-$

2.  $P$  is  $\Sigma_1^2(\text{Code}(\Sigma))$ -full i.e.  $\forall \gamma > \aleph_{\lambda^P - 1} \exists C_{\Sigma_1^2(P, \gamma, \Sigma)}^P \subseteq P$

Suppose  $(P, \Sigma)$  is a hod pair s.t.  $\Sigma$  has BC and is FPR.

$[P, \Sigma] = \left\{ \begin{array}{l} \cdot (Q, \mathcal{N}) \text{ is a hod pair;} \\ \cdot \text{It has BC and is FPR} \\ \cdot (P, \Sigma) \text{ and } (Q, \mathcal{N}) \text{ coiterate to the same thing} \end{array} \right\}$

Aside If  $(P, \Sigma)$  is as above let

$M_\infty(P, \Sigma) = \text{dir lim of all iterates of } P \text{ via } \Sigma$   
 (More precisely the dir lim of  $\mathcal{I}(P, \Sigma)$ ).

Note  $M_\infty(P, \Sigma) = M_\infty(Q, \mathcal{N})$  if  $(P, \Sigma)$  and  $(Q, \mathcal{N})$  coiterate to the same thing. Let  $\pi: P \rightarrow M_\infty(P, \Sigma)$

Exercise Show  $M_\infty(P, \Sigma) \stackrel{\text{Code}}{\cong} \mathcal{P}_{\aleph_{\lambda^P - 1}}^{M_\infty(P, \Sigma)}$  Satisfies

$\begin{array}{ccc} & \uparrow & \\ & \text{Code} & \\ & \uparrow & \\ M_\infty(P, \Sigma) & \xrightarrow{\pi} & \end{array}$

$IB(P, \Sigma)$

- 21 -

$IB = \{ B \mid B \subseteq [P, \Sigma] \times \mathbb{R} \times \mathbb{R}; B \text{ is OD}; \forall (Q, \mathcal{R}) \in [P, \Sigma]$

$P \upharpoonright B_{(Q, \mathcal{R})}$  on the first coordinate is the set of codes of  $Q$  }

Definition Suppose  $(P, \Sigma)$  is suitable and  $B \in IB(P, \Sigma)$

\* Then for  $P$ -cardinals  $\kappa > \omega(P^-)$  there is a term  $\tau$  capturing  $B$ , i.e. for any  $g \in \text{col}(\omega, \kappa)$ :

$$\forall R \in \mathbb{I}(P, \Sigma) \cap P[g] \quad (\tau_g)_{(R, \Sigma_R^P)} = IB_{(R, \Sigma_R)} \cap (\mathbb{R}^{P \times \mathbb{R}})^2$$

standard

Then there is a ~~unique~~ term ~~capturing~~ doing the capturing. ~~What is  $\tau_B$~~  is denoted by  $\tau_{B, \kappa}$ . (One can show that the standard such term is unique.)

\* This "then" is non-trivial - it has to be proved, and it was proved for ordinary premice in one of John Steel's previous lectures.

14:00 GRIGOR SARGSYAN

~~Definition~~ Given suitable  $(P, \Sigma)$ ,  $B \in IB(P, \Sigma)$  let  $\tau_{B, i}^P$  be the term capturing  $B$  at  $(\delta^{+i})^P$ . Here  $\delta^Q \stackrel{\text{def}}{=} \delta_{\lambda^Q}^Q$ .

We let  $\delta_{B, i}^P = \sup \text{Hull}_{\Sigma_1}^P \{ P^- \cup \{ \tau_{B, k}^P \mid k \leq i \} \} \cap \delta^P$

Theorem  $\delta_{B, i}^P = \sup \text{Hull}_{\Sigma_1}^P \{ P^- \cup \delta_{B, i}^P \cup \{ \tau_{B, k}^P \mid k \leq i \} \} \cap \delta^P$   
 and  
 $H_{B, i}^P = \text{Hull}_{\Sigma_1}^P ( P^- \cup \delta_{B, i}^P \cup \{ \tau_{B, k}^P \mid k \leq i \} )$

Definition  $(P, \Sigma)$  suitable,  $B \in \mathcal{B}(P, \Sigma)$ . <sup>the</sup> A pair  $(P, \Sigma)$  is B-iterable iff player II has a w.s. in the following game on P:

1. ~~Player I~~  $\Sigma$  chooses a stack on  $P$  according to  $\Sigma$   $P_0 \xrightarrow{\Sigma} P_1$
  2. I starts playing subrounds. If this lasts  $w$  steps, I loses. I plays normal "correctly" guided ~~tree~~ maximal trees,  $u_i$ . II plays cwf's. Wait
- $(M_{b_i}^{u_i}, \Sigma_{u_i}^{u_i})$  is suitable + the term relations

are moved correctly:  $i_{b_i}^{u_i}(\tau_{B_i, k}^{u_i}) = \tau_{B_i, k}^{u_i}$  all  $i$ .

3. I exists after finitely many steps and ~~ends~~ starts the main round on  $P_2^-$
4. If I uses  $w$  subrounds II wins
5. II wins if the game has  $w_1$  steps.
6.  $\Sigma$  moves B correctly, if not, II loses

Definition Given a suitable pair  $(P, \Sigma)$  and  $B \in \mathcal{B}(P, \Sigma)$ :

$(P, \Sigma)$  is strongly B-iterable if the embeddings in the subrounds do not depend on the play, i.e.: In B-iteration game, embeddings of the subrounds  $i_b^{u_i} \gamma_{B_i, k}^u$  are independent of  $b$ . Def above says that it is independent of the play also.

Hypo Suppose  $(P, \Sigma)$  is a hod pair s.t. for some  $\alpha$

$$M_{\alpha} \# (P, \Sigma) \upharpoonright \mathcal{O}_{\alpha} = \bigvee_{\mathcal{O}_{\alpha}}^{HOD}$$

Suppose for every  $B \in \mathcal{B}(P, \Sigma)$  there is a suitable pair  $(Q, \mathcal{N})$  s.t.  $(Q, \mathcal{N})$  is strongly B-iterable,  $(Q, \mathcal{N}) \in [P, \Sigma]$ .

Moreover  $\forall B \forall (Q, \mathcal{N}) \forall c$  s.t.  $(Q, \mathcal{N})$  is suitable,  $(Q^c, \mathcal{N}) \in [P, \Sigma]$ :  $(Q, \mathcal{N})$  is B-iterable,  $B, c \in \mathcal{B}(P, \Sigma)$ , there is a B-tail

of  $(\alpha, \mu), (R, \psi)$  which is  $C$ -iterable [B-tail means some iterate according to some strong B-iteration strategy.]

$I = \{ (\alpha, \mu, B) \mid (\alpha, \mu) \text{ is suitable}, (\alpha, \mu) \in [P, \Sigma], (\alpha, \mu) \text{ is strongly } B\text{-iterable} \}$

$\mathcal{F} =$  the set of all  $H_{B, k}^{\alpha, \mu}$  s.t.  $(\alpha, \mu, B) \in I$

Define  $\leq^*$  on  $I$ :

$(\alpha, \mu, \vec{B}) \leq^* (R, \psi, \vec{C})$  iff

$(R, \psi)$  is a  $\vec{B}$ -tail of  $(\alpha, \mu)$  and  $\vec{B} \subseteq \vec{C}$

We let

$$i_{(\alpha, \mu, \vec{B}), (R, \psi, \vec{C})} : \bigcup_{k \in \omega} H_{\vec{B}, k}^{\alpha, \mu} \longrightarrow \bigcup_{k \in \omega} H_{\vec{C}, k}^{R, \psi}$$

let  $M_\infty = \text{dir lim}(\mathcal{F}, \leq^*)$  under  $i_{(\alpha, \mu, \vec{B}), (R, \psi, \vec{C})}$

Hypo 2 ~~Suppose~~  $M_\infty$  is wf and  $\mathcal{J}_\infty = \mathcal{J}^{M_\infty} = \Theta_{\omega+1}$

We prove:

$$\text{Hypo 1} + \text{Hypo 2} \Rightarrow M_\infty \upharpoonright \Theta_{\omega+1} = \bigvee_{\Theta_{\omega+1}} \text{HOD}$$

Proof Directly from the above:  $M_\infty \subseteq \text{HOD}$ .

Let  $A \subseteq \gamma < \Theta_{\omega+1}$ ,  $A$  is OD. WTS  $A \in M_\infty$ .

Fix  $(\alpha, \mu, B) \in I$ . Show  $A \in M_\infty$ . Fix  $(\alpha, \mu, B) \in I$

s.t.  $i_{(\alpha, \mu, B), \infty} > \gamma$ . Let

$$C = \{ ((R, \psi), x, y) \mid (R, \psi, B) \in I \text{ and } x \text{ codes } R, y \text{ codes some } \alpha_y < \gamma_B^{R, \psi} \text{ \& } i_{(R, \psi, B), \infty}(\alpha_y) \in A \}$$

$C \in \mathcal{B}(P, \Sigma)$

Let  $\tau_{c, \infty}$  = image of  $\tau_c$ 's from the direct limit (i.e.  
 $(S, \Phi, C) \in \mathcal{I}$ :  $\tau_{c, \infty} = i_{(S, \Phi, C), \infty}(\tau_c^S, \Phi)$ )

then  $A$  is defined over  $M_\infty$  by (letting  $S = \Theta_{n+1}$ )  
 $\beta \in A \leftrightarrow \exists \frac{M_\infty}{\text{Col}(u, \delta^+)} ((M_\infty / \delta^+, \Sigma_{M_\infty}), x, y) \in \tau_c$  where  $x$   
 is a code for  $M_\infty^-$  &  $y$  is a code for  $\beta$

Proof Suppose  $\beta \in A$ ,  $(S, \Phi, B) \in A$  and  $\bar{\beta} < \gamma_{\beta}^{S, \Phi}$  s.t.  
 $i_{(S, \Phi, B), \infty}(\bar{\beta}) = \beta$  &

$\frac{S}{\text{Col}(u, \beta^+)} (S / \delta^+, \Sigma^S, x, y) \in \tau_c$  where  $x$  is a code for  $S^-$   
 $y$  is a code for  $\bar{\beta}$

then use elementarity of the direct limit maps. This  
 proves " $\Rightarrow$ " in the above equivalence, which is the heart  
 of the argument □

Proving Hypo 1 + Hypo 2.

Assume  $\Theta_{n+2}$  exists.

For Hypo 1 ETS: There is a hod pair  $(P, \Sigma)$  s.t.

$$M_\infty(P, \Sigma_{P^-}) = V_{\Theta_n}^{\text{HOD}}$$

$(P, \Sigma_{P^-})$  is suitable,  $\Sigma$  is FPR and has BC.

We say that  $\Sigma$  is  $\langle B; i \langle \omega \rangle$ -guided iff  $\{B; i \langle \omega \rangle\} \subseteq \mathcal{B}(P, \Sigma_{P^-})$   
 and  $\forall C \in \mathcal{B}(P, \Sigma_{P^-}) \exists \text{tail } (Q, \Lambda)$  of  $(P, \Sigma)$  that respects  $C$ .  
 " $\Lambda$ -respects  $C$ " means: moves the term relations for  $C$  correctly.

Def  $(\Sigma, P)$  a hod pair,  $\Sigma$  has BC and is FPR.

$\vec{B} = \{B_i \mid i \in \omega\} \in \mathcal{B}(P^-, \Sigma_{P^-})$  is  $\vec{B}$ -guided of

(a)  $\sup_{B_i} \delta_{P_i \Sigma_{P^-}} = \delta^P$

(b)  $(\forall \vec{\sigma}) (\Sigma(\vec{\sigma}) = b \iff b \text{ is the unique branch that moves the terms for all } B_i\text{'s correctly})$

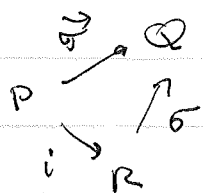
(Take this with a grain of salt.)

Let  $\Gamma$  be a good pointclass s.t.  $w(\Gamma) > \Theta_{\omega+1}$ . Let  $F$  be an  $N_x^*$  function for  $\Gamma$ . We can assume (by induction hypo) that we have  $(P, \Sigma)$  s.t.  $\Sigma$  has BC + is FPR and

$$M_{\aleph_0}(P, \Sigma) \upharpoonright \Theta_\omega = V_{\Theta_\omega}^{\text{HOD}} \implies \text{Code}(\Gamma) \in \Delta_{\Gamma}^{\sim}$$

Fix  $x$  s.t.  $F(x) = \langle N_x^*, M_x, \delta_x, \Sigma_x \rangle$  captures  $\Sigma$ .

Backhack Def  $(P, \Sigma)$  strongly respects  $B$  iff whenever



$\vec{\sigma}$  was  $\sigma$ ,  $i^{\vec{\sigma}} = \sigma \circ i$  then

$$i^{\vec{\sigma}}(\tau_{P_i \Sigma_{P^-}}^B) = \tau_{Q_i \Sigma_{Q^-}}^B$$

$$\sigma^{-1}(\tau_{Q_i \Sigma_{Q^-}}^B) = \tau_{R_i (\Sigma_{Q^-})^\sigma}^B$$

Exercise Find a problem here and solve it.

First We show that for every  $B$  there is a <sup>hod</sup> pair  $(P, \Sigma)$  s.t.  $\Sigma$  is FPR, has BC and strongly respects  $B$ .

Abbreviate:  $\mathcal{B} = \mathcal{B}(P, \Sigma)$  for  $(P, \Sigma)$  s.t.  $M_{\aleph_0}(P, \Sigma) = V_{\Theta_\omega}^{\text{HOD}}$

Lemma Let  $\Gamma$  be a good pointclass  $> \Theta_{\omega+1}$ . Let  $(P, \Sigma)$  be s.t.  $\Sigma$  has BC +  $\mathcal{F}$  is FPR,  $\text{Code}(\Sigma) \in \Delta$

Let  $F$  be an  $N_x^*$  function. Let  $B \in \mathcal{W}$ . Let  $x$  be s.t.  $\text{Code}(\Sigma)$  and  $B$  are Suslin captured by  $(N_x^*, \delta_x, \Sigma_x)$ . For some  $\beta$  letting  $(P_\beta, \Sigma_\beta)$  be the  $\beta$ -th model of hod mouse construction of  $N_x^*$  some level of  $\Sigma_\beta$  strongly respects  $B$  (in part  $P_\beta^-$  is  $\Sigma$ -iterate of  $P$ .)

Proof By comparison argument  $\exists \gamma$  s.t.  $P$  iterates to  $P_\gamma$  via  $\Sigma$  and  $\Sigma_\gamma = \Sigma_{P_\gamma}$ . We need to show  $P_{\gamma+1}$  exists and  $\Sigma_{\gamma+1}$  is FPR + has BC.

$$= L[E, \Sigma_\gamma] N_x^* \upharpoonright \delta_x$$

1.  $P_{\gamma+1}$  exists: What if  $N_{\gamma+1}$  projects across  $\delta_\gamma$ . Let  $M \triangleleft N_{\gamma+1}$

s.t.  $\rho_M^v < \delta_\gamma$ . Consider  $(M, P_\gamma, \Sigma_{\gamma+1})$ . We have ①

a)  $M$  is a  $\Sigma_\gamma$ -mouse <sup>over  $P_\gamma$</sup>   $\rho_M^u < \delta_\gamma$  +  $M$  least such

b)  $\Sigma_\gamma$  is FPR + has BC,  $M_{\alpha}(P_\gamma, \Sigma_\gamma) \upharpoonright \alpha = V_{\alpha}^{\text{HOD}}$

Let

$$\mathcal{F} = \{ (N, \alpha, \nu) \mid (N, \alpha, \nu) \text{ satisfy a) + b) in place of } (M, P_\gamma, \Sigma_\gamma) \}$$

Let  $\leq^*$  on  $\mathcal{F}$  be given by

$$(N, \alpha, \nu) \leq^* (N^*, \alpha^*, \nu^*) \text{ iff } \alpha^* \text{ is an } \alpha\text{-iterate of } \alpha \text{ and } \nu^* = \nu_{\alpha^*}$$

Here projectum stays below  $\delta^\alpha$  as doing fine structural iterations one level down - FSIT Ch 6.

①  $\Sigma_\gamma$  comes from a strategy of  $M$ .

Let

$M = \text{dir lim } (\mathcal{F}, \varepsilon^*)$  under the transition maps

By the same result,  $\rho_M^w \subset \mathcal{O}_2$  and  $M \in \text{KOD}$ . Contradiction, because  $M_\infty(P, \varepsilon) \upharpoonright \mathcal{O}_2 = \bigvee_{\mathcal{O}_2}^{\text{KOD}}$ . So  $M \in M_\infty(P, \varepsilon)$  hence  $M \in M$ . This shows that  $\rho_{M_{\delta+1}}^w \neq \delta_{\gamma_1}$ .  $\square$

Next need to see: If  $\text{cf}(\gamma_1)$  is measurable in  $P_{\gamma_1}$  then  $(\delta_{\gamma_1}^+)^{P_{\gamma_1}} = (\delta_{\gamma_1}^+)^{N_{\gamma_1+1}}$ . Suppose not. Let  $M \subseteq N_{\gamma_1+1}$  be s.t.  $\rho_M^w = \delta_{\gamma_1}$ . This is an easy exercise on FPR.

Now let  $w(A) = \mathcal{O}_{\lambda+1}$  and

$A = \{ (\mathcal{Q}, \mathcal{R}, x, \mathcal{C}_{\Sigma_1^2}(\text{Code}(\mathcal{R}), x)) \mid (\mathcal{Q}, \mathcal{R}) \text{ sits at } \mathcal{O}_\alpha \text{ and } x \text{ is a real coding } x, \varphi, \dots \}$

$B = \{ \sigma \mid \sigma^{-1}(A) = (\mathcal{Q}, \mathcal{R}, \vec{c}) \text{ s.t. } \vec{c} \text{ is a sjs at } \delta_1^2(\text{Code}(\mathcal{R})) \}$

Assume:  $B$  is captured by  $N_x^*$ . Work in  $N_x^*[g]$  where  $g$  is generic for  $\text{col}(w, \gamma_1)$ . Let  $\sigma \in N_x^*[g]$  be s.t. for  $\Sigma_1^2$

$$\sigma^{-1} \upharpoonright A^* = ((P_{\delta_1}, \Sigma_{\delta_1}), \vec{c}) \quad \vec{c} \text{ is sjs}$$

Let  $\pi: N \rightarrow N^* \mid \lambda \quad \lambda \gg \delta_x$

$$n = \text{crit}(\pi) \rightarrow \delta_x$$

$V_n \subseteq N$ ,  $\vec{c} \in \text{rng}(\pi)$ . Now  $N \models n$  is Woodin  $\Rightarrow$

$L_{P_w}^{\Sigma_{\delta_1}}(V_n^N) \models n$  is Woodin. So

$N_{\gamma_1+1} \models$  the least strong to  $\delta_x$  is a limit of Woodins

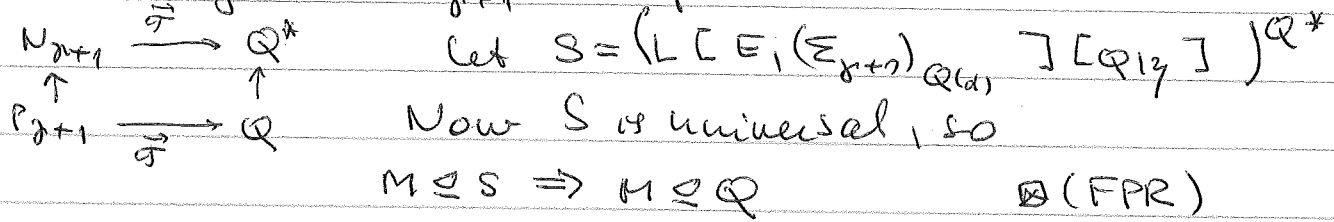
Conclusion:  $P_{\gamma_1+1}$  exists



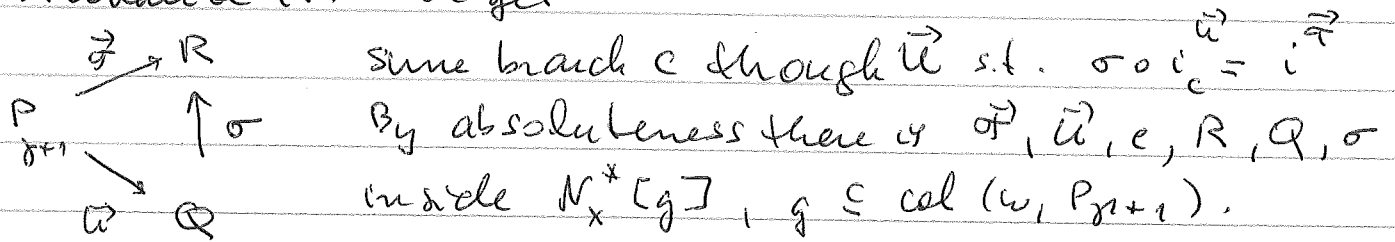
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$\Sigma_{\beta+1}$  has BC and is FPR

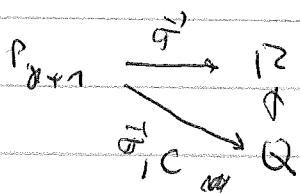
For FPR use universality + absoluteness: If not then there is  $\vec{g}$  on  $P_{\beta+1}$  according to  $\Sigma_{\beta+1}$  with last model  $Q$  and  $Q$  is not full.  $\exists \lambda < \lambda^Q, \eta \in (\delta_\lambda, \mu_{\beta+1})^Q$  and a mouse  $\mathcal{M}$  ( $\Sigma_{\beta+1}$ )  $Q$ - $\lambda$ -mouse  $M$  over  $Q$  by s.t.  $M \notin Q$ . The same is true in  $N_x^*[g]$ ,  $g \subseteq \text{col}(\omega, \delta_{\beta+1})$ . Let  $(\vec{\sigma}, M) \in N_x^*[g]$  witnessing that  $\Sigma_{\beta+1}$  is not FPR.



For BC use the stack arguments: "If BC fails, we can internalize it." We get



$S = S(N_{\beta+1}) = \bigcup \{ M \mid \rho(M) = \delta_\lambda \text{ \& } M \text{ is } \Sigma_{\beta+1}\text{-universal} \dots \}$   
 $R^* \rightarrow$  Result of applying  $\vec{g}^2$  to  $S$   
 $\vec{u}^1 c \uparrow S$



Claim  $Q^*$  is wf.

Proof Extend  $\sigma$  to act on  $Q^*$ : get

$\sigma^*: Q^* \rightarrow R^*$  in the usual way.

Also let  $b = \Sigma_{\delta_{r+1}}(\bar{u})$  and  $S \xrightarrow{\bar{u}_b} W^*$ . Note:  
 $Q^* \uparrow \pi_c(\delta_{r+1}) = W^* \uparrow \pi_b(\delta_{r+1})$  then  $Q^*, W^*$  compare above  
 $\pi_c(\delta_{r+1})$  to some  $S^* = S(S^*(\delta_x))$

Let  $\lambda = \alpha(S)$ .  $cf(\lambda) \geq \delta_x$ . So there is an  $w$ -club  $C \subseteq \lambda$   
 on which  $\pi_b, \pi_c$  agree. So there is an  $w$ -club  
 $D \subseteq \sigma_b^{S^*}[C] \cap \sigma_c[C]$ . Then

$$\text{Hull}(D \cap [\pi_b(\delta_{r+1}), \pi_b(\delta_{r+1})]) \subseteq \text{rng}(\pi_c) \cap \text{rng}(\pi_b)$$

The hull on the LHS must be cofinal in  $\delta_{r+1}$  by FPR  
 Otherwise we would get a Woodin cardinal in the  
 interval  $[\pi_b(\delta_r), \pi_b(\delta_{r+1})]$ , a contradiction.

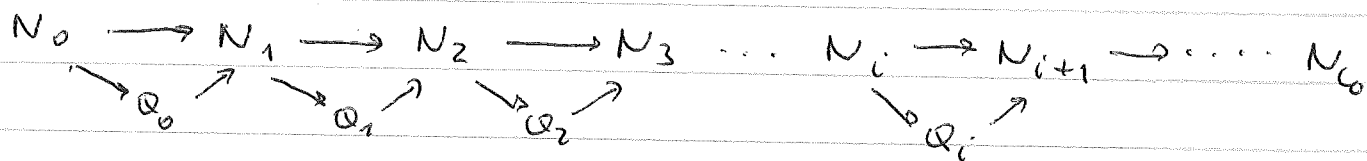
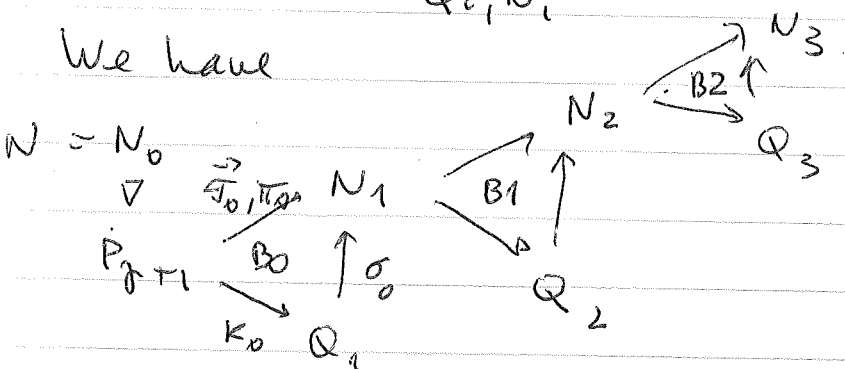
□ (BC)

Now we want to show that some tail of  $\Sigma_{r+1}$  <sup>(strongly)</sup> respects B.

If not: In  $N_x^*[E]$  we have the same. Let  $N = N_x^*(v+\omega)^{N_{r+1}}$   
 where  $v =$  the sup of the first  $w$  Woodin cardinals of  $N_{r+1}$ .  
 Let  $\langle \sigma_i, k_i, \pi_i, \tau_i \mid i \in \omega \rangle \in N_x^*[E]$  be as follows

$Q_i, N_i$

We have



Let  $h \subseteq \text{col}(w, < r)$  generic over  $N_x^*$ . Let  $\mathbb{R}^* = \mathbb{R}_h^*$  ~~be the real~~